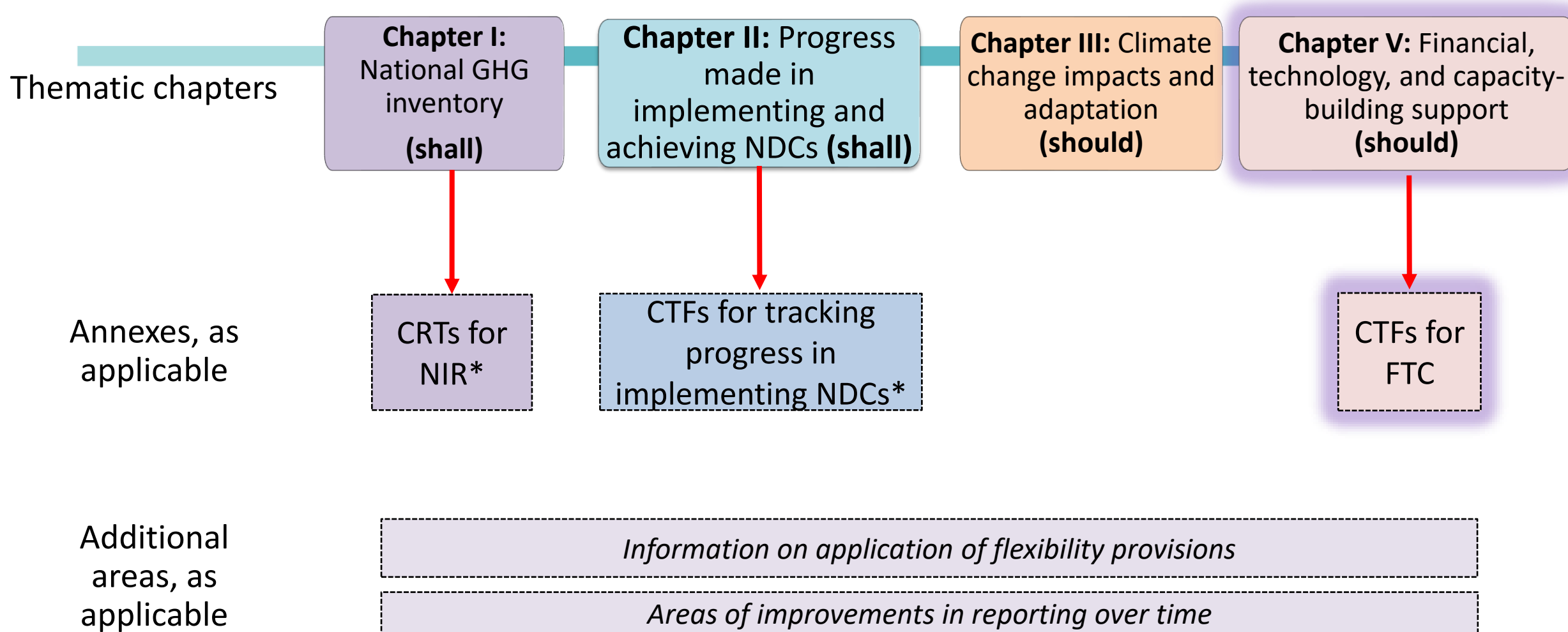


# Introduction to the MPGs

## Preparation of Chapter V: Information on financial, technology development and transfer and capacity-building (FTC) support needed and received

**Presentation Originally Drafted by UNFCCC, Transparency Division**

# Outline of the BTR



For the BTR outline, see [decision 5/CMA.3](#), Annex IV.

# Preparation of Chapter V- Information on FTC Support Needed and Received

## **BTR MPGs: Decision 18/CMA.1, Annex: Ch**

---

**A. National circumstances, institutional arrangements and country-driven strategies**

**B. Underlying assumptions, definitions and methodologies**

**C. Financial support needed by developing country Parties**

**D. Financial support received by developing country Parties**

**E. Technology development and transfer support needed by developing country Parties**

**F. Technology development and transfer support received by developing country Parties**

**G. Capacity-building support needed by developing country Parties**

**H. Capacity-building support received by developing country Parties**

**I. Support needed and received by developing country Parties for the implementation of Article 13 of the Paris Agreement and transparency-related activities**

---

# Decision 18/CMA.1, Annex: Chapter VI of the MPGs

Textual Format	Textual and Tabular Format
A. National circumstances, institutional arrangements and country-driven strategies	C. Financial support needed
B. Underlying assumptions, definitions and methodologies	D. Financial Support Received
	E. Technology development and transfer support needed
	F. Technology development and transfer support received
	G. Capacity-building support needed
	H. Capacity-building support received
	I. Support needed and received for implementing Article 13

# Why FTC?



Give decision-makers continuous evidence they need to choose the right course of action and secure investments

Provide reliable information to the international community showing national achievements, build trust, and attract investments

Meet the international UNFCCC reporting requirements and allow for continuous improvements

# Why FTC?

...mechanisms for monitoring the impact of climate finance are relevant for the implementation of the ETF, and indicators used for measuring impact are used by climate funds

## Examples - Mitigation

- GHG emissions reduced
- MW installed capacity
- Volume of fuel per km travelled

## Examples - Adaptation

- Number of beneficiaries
- Hectares protected
- Number of projects that foster climate resilience

## Examples of indicators used for measuring impacts/ results by Multilateral Climate Funds

Overview of core result indicators in use by multilateral climate funds, by theme and sector

Sector	Theme					
	Mitigation			Adaptation		
	Indicator	Unit	Fund	Indicator	Unit	Fund
Cross-cutting	1) Greenhouse gas emissions mitigated or avoided	tCO2	GCF, GEF, CIF, FIP, SREP	1) Number of direct and indirect beneficiaries / livelihood co-benefits	Number of beneficiaries (disaggregated by gender)	AF, GCF, GEF, LDCF/SCCF, FIP
	2) Number of direct and indirect beneficiaries / improved access to energy	Number of beneficiaries (disaggregated by gender)	GCF, GEF, LDCF / SCCF, FIP, SREP	2) Area of land or ecosystems brought under sustainable/ improved/ climate-resilient management practices	Hectares	GCF, GEF, AF, LDCF / SCCF, FIP, PPCR
	3) Value of physical assets made more able to reduce GHG emissions	Value in USD million	GCF	3) Value of physical assets made more resilient to the effects of climate change	Value in USD million	GCF

# Format of reporting

“Developing country Parties should provide information on FTC support needed and received, **to the extent possible, and as available and as applicable.**”

## TEXTUAL FORMAT

**A.** National circumstances, institutional arrangements and country-driven strategies

**B.** Underlying assumptions, definitions and methodologies

## TEXTUAL AND TABULAR FORMAT

**C.** Financial support needed

**D.** Financial support received

**E.** Technology development and transfer support needed

**F.** Technology development and transfer support received

**G.** Capacity-building support needed

**H.** Capacity-building support received

**I.** Support needed and received for implementing Article 13



Textual format



# A. National circumstances, institutional arrangements and country-driven strategies

## Objectives of this sub-chapter:

- Sets into context the information provided in the BTR
- Describe systems and tools to track and report
- Implying link between support needed and country's climate priorities, such as in country's NDC
- Challenges and limitations with respect to reporting on support needed and received

130. Developing country Parties should provide information **on national circumstances and institutional arrangements** relevant to reporting on support needed and received, including:

- (a) A description of the systems and processes used to identify, track and report support needed and received, including a description of the challenges and limitations;
- (b) Information on country priorities and strategies and on any aspects of the Party's NDC under Article 4 of the Paris Agreement that need support.

# B. Underlying assumptions, definitions and methodologies

131. Developing country Parties **should** describe the underlying assumptions, definitions and methodologies, including, **as applicable**, those used to:

- (a) Convert domestic **currency** into USD;
- (b) Estimate the amount of support **needed**;
- (c) Determine the reporting year or **time frame**;
- (d) Identify support as coming from specific **sources**;
- (e) Determine support as **committed, received or needed**;
- (f) Identify and report the **status** of the supported activity (planned, ongoing or completed);
- (g) Identify and report the **channel** (bilateral, regional or multilateral);
- (h) Identify and report the **type of support** (mitigation, adaptation or cross-cutting);
- (i) Identify and report the financial **instrument** (grant, concessional loan, non-concessional loan, equity, guarantee or other);
- (j) Identify and report **sectors** and subsectors;
- (k) Report on the **use, impact and estimated results** of the support needed and received;
- (l) Identify and report support as contributing to **technology** development and transfer and **capacity-building**;
- (m) **Avoid double counting** in reporting information on support needed and received for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including for transparency-related capacity-building, when reporting such information separately from other information on support needed and received.



Textual and tabular format

# C. Information on financial support needed

## Textual format:

[to the extent possible and as available and as applicable]

- a) **Sectors** for which the Party wishes to attract international finance, including **existing barriers** to attracting international finance;
- b) Description of how the support will **contribute to its NDC** and to the **long-term goals of the Paris Agreement**.

Decision 18/CMA.1, Annex: Chapter VI

## Tabular format:

Decision 5/CMA.3, Annex III

Table III.6

Information on financial support needed by developing country Parties under Article 9 of the Paris Agreement<sup>a, b</sup>

Exchange rate used: \_\_\_\_\_

Sector <sup>c</sup>	Subsector <sup>c</sup>	Title of activity, programme, project or other <sup>c, d</sup>	Programme/project description <sup>c</sup>	Estimated amount (climate-specific) <sup>c</sup>		Expected time frame <sup>c</sup>	Expected financial instrument <sup>c</sup>	Type of support <sup>c</sup>	Contribution to technology development and transfer objectives <sup>c</sup>	Contribution to capacity-building objectives <sup>c</sup>	Whether the activity is anchored in a national strategy and/or an NDC <sup>c</sup>	Expected use, impact and estimated results <sup>c</sup>	Additional information <sup>f</sup>
				Domestic currency	USD								
Energy							Grant	Adaptation	Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No		
Transport							Concessional loan	Mitigation					
Industry							Non-concessional loan	Cross-cutting <sup>e</sup>					
Agriculture													
Forestry													
Water and sanitation							Equity						
Cross-cutting							Guarantee						
Other (specify) <sup>d</sup>							Insurance						
							Other (specify) <sup>d</sup>						

Notation keys: NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in a common tabular format, information on financial support needed, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If "other", Parties should specify this information.

<sup>e</sup> This refers to funding for activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

### Custom footnotes

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# D. Information on financial support received

## Tabular format:

Decision 5/CMA.3, Annex III

Table III.7

### Information on financial support received by developing country Parties under Article 9 of the Paris Agreement<sup>a, b</sup>

Exchange rate used: \_\_\_\_\_

Title of activity, programme, project or other <sup>d</sup>	Programme/project description <sup>f</sup>	Channel <sup>f</sup>	Recipient entity <sup>e</sup>	Implementing entity <sup>e</sup>	Amount received (climate-specific) <sup>e</sup>		Time frame <sup>e</sup>	Financial instrument <sup>e</sup>	Status <sup>e</sup>	Type of support <sup>e</sup>	Sector <sup>e</sup>	Subsector <sup>e</sup>	Contribution to technology development and transfer objectives <sup>e</sup>	Contribution to capacity-building objectives <sup>e</sup>	Status of activity <sup>e</sup>	Use, impact and results <sup>e</sup>	Additional information <sup>f</sup>
					Domestic currency	USD											
		Multilateral						Grant	Committed	Adaptation	Energy		Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No	Planned		
		Bilateral						Concessional loan	Received	Mitigation	Transport				Ongoing		
		Regional						Non-concessional loan		Cross-cutting <sup>g</sup>	Industry				Completed		
		Other (specify) <sup>d</sup>						Equity			Agriculture						
								Guarantee			Forestry						
								Insurance			Water and sanitation						
								Other (specify) <sup>d</sup>			Cross-cutting						
											Other (specify) <sup>d</sup>						

Notation keys: NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, information on financial support received, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If "other", Parties should specify this information.

<sup>e</sup> This refers to funding for activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

#### Custom footnotes

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# E. Information on technology D&T support needed

## Textual format:

[to the extent possible and as available and as applicable]

- a) **Plans, needs and priorities** related to technology development and transfer, including those identified in **technology needs assessments (TNA)**, where applicable;
- b) Technology development and transfer related **needs for the enhancement of endogenous capacities and technologies.**

*Decision 18/CMA.1, Annex: Chapter VI*

## Tabular format:

*Decision 5/CMA.3, Annex III*

Table III.8

Information on technology development and transfer support needed by developing country Parties under Article 10 of the Paris Agreement<sup>a, b</sup>

<i>Sector<sup>f</sup></i>	<i>Subsector<sup>f</sup></i>	<i>Title of activity, programme, project or other<sup>f, d</sup></i>	<i>Programme/project description<sup>f</sup></i>	<i>Type of support<sup>f</sup></i>	<i>Type of technology<sup>f</sup></i>	<i>Expected time frame<sup>f</sup></i>	<i>Expected use, impact and estimated results<sup>f</sup></i>	<i>Additional information<sup>f</sup></i>
Energy				Mitigation				
Transport				Adaptation				
Industry				Cross-cutting <sup>e</sup>				
Agriculture								
Forestry								
Water and sanitation								
Cross-cutting								
Other (specify) <sup>d</sup>								

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in a common tabular format, information on technology development and transfer support needed, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If "other", Parties should specify this information.

<sup>e</sup> This refers to activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

*Custom footnotes*

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# F. Information on technology D&T support received

## Textual format:

[to the extent possible and as available and as applicable]

- (a) **Case studies**, including key success and failure stories;
- (b) **How the support contributes** to technology development and transfer, endogenous capacities and know-how;
- (c) **The stage of the technology cycle supported**, including research and development, demonstration, deployment, diffusion and transfer of technology.

*Decision 18/CMA.1, Annex: Chapter VI*

## Tabular format:

*Decision 5/CMA.3, Annex III*

Table III.9

Information on technology development and transfer support received by developing country Parties under Article 10 of the Paris Agreement<sup>a, b</sup>

<i>Title of activity, programme, project or other<sup>d</sup></i>	<i>Programme/project description<sup>e</sup></i>	<i>Type of technology<sup>f</sup></i>	<i>Time frame<sup>f</sup></i>	<i>Recipient entity<sup>f</sup></i>	<i>Implementing entity<sup>f</sup></i>	<i>Type of support<sup>f</sup></i>	<i>Sector<sup>f</sup></i>	<i>Subsector<sup>f</sup></i>	<i>Status of activity<sup>f</sup></i>	<i>Use, impact and estimated results<sup>f</sup></i>	<i>Additional information<sup>f</sup></i>
						Mitigation	Energy		Planned		
						Adaptation	Transport		Ongoing		
						Cross-cutting <sup>g</sup>	Industry		Completed		
							Agriculture				
							Forestry				
							Water and sanitation				
							Cross-cutting				
							Other (specify) <sup>d</sup>				

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).  
<sup>a</sup> Developing country Parties should provide, in common tabular format, information on technology development and transfer support received, to the extent possible, as available and as applicable.  
<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.  
<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.  
<sup>d</sup> If “other”, Parties should specify this information.  
<sup>e</sup> This refers to activities that have both mitigation and adaptation components.  
<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

...  
*Custom footnotes*  
 The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# G. Information on CB support needed

## Textual format:

[to the extent possible and as available and as applicable]

- (a) The approach a Party seeks to take to **enhance capacity-building support**;
- (b) Country-specific **capacity-building needs, constraints and gaps** in communicating those needs, and an explanation of **how the capacity-building support needed would improve** the provision of such information;
- (c) **Processes** for enhancing public awareness, public participation and access to information in relation to capacity-building.

Decision 18/CMA.1, Annex: Chapter VI

## Tabular format:

Decision 5/CMA.3, Annex III

Table III.10  
Information on capacity-building support needed by developing country Parties under Article 11 of the Paris Agreement<sup>a, b</sup>

Sector <sup>c, d</sup>	Subsector <sup>c</sup>	Title of activity, programme, project or other <sup>c, d</sup>	Programme/project description <sup>c</sup>	Type of support <sup>e</sup>	Expected time frame <sup>b</sup>	Expected use, impact and estimated results <sup>b</sup>	Additional information <sup>f</sup>
Energy				Mitigation			
Transport				Adaptation			
Industry				Cross-cutting <sup>e</sup>			
Agriculture							
Forestry							
Water and sanitation							
Cross-cutting							
Other (specify) <sup>d</sup>							

Notation keys: NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).  
<sup>a</sup> Developing country Parties should provide, in common tabular format, information on capacity-building support needed, to the extent possible, as available and as applicable.  
<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.  
<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.  
<sup>d</sup> If "other", Parties should specify this information.  
<sup>e</sup> This refers to activities that have both mitigation and adaptation components.  
<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

...  
**Custom footnotes**  
 The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.



# H. Information on CB support received

## Textual format:

[to the extent possible and as available and as applicable]

- (a) **Case studies**, including key success and failure stories;
- (b) **How support received has enhanced a Party's capacity**;
- (c) **Capacity-building support received** at the national and, where appropriate, subregional and regional level, including priorities, participation and the involvement of stakeholders.

*Decision 18/CMA.1, Annex: Chapter VI*

## Tabular format:

*Decision 5/CMA.3, Annex III*

Table III.11  
**Information on capacity-building support received by developing country Parties under Article 11 of the Paris Agreement<sup>a, b</sup>**

<i>Title of activity, programme, project or other<sup>c, d</sup></i>	<i>Programme/project description<sup>c</sup></i>	<i>Time frame<sup>c</sup></i>	<i>Recipient entity<sup>c</sup></i>	<i>Implementing entity<sup>c</sup></i>	<i>Type of support<sup>c</sup></i>	<i>Sector<sup>c</sup></i>	<i>Subsector<sup>c</sup></i>	<i>Status of activity<sup>c</sup></i>	<i>Use, impact and estimated results<sup>c</sup></i>	<i>Additional information<sup>f</sup></i>
					Mitigation	Energy		Planned		
					Adaptation	Transport		Ongoing		
					Cross-cutting <sup>e</sup>	Industry		Completed		
						Agriculture				
						Forestry				
						Water and sanitation				
						Cross-cutting				
						Other (specify) <sup>d</sup>				

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).  
<sup>a</sup> Developing country Parties should provide, in common tabular format, information on capacity-building support received, to the extent possible, as available and as applicable.  
<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.  
<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.  
<sup>d</sup> If "other", Parties should specify this information.  
<sup>e</sup> This refers to activities that have both mitigation and adaptation components.  
<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

...  
**Custom footnotes**  
 The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# I. Information on support needed and received for implementation of Article 13

## Textual format:

[to the extent possible and as available and as applicable]

(a) Support needed and received for preparing reports pursuant to Article 13;

(b) Support needed and received for addressing the areas for improvement identified by the technical expert review teams.

Decision 18/CMA.1, Annex: Chapter VI

## Tabular format:

Decision 5/CMA.3, Annex III

Table III.13

Information on support received by developing country Parties for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including for transparency-related capacity-building<sup>a, b</sup>

Exchange rate used: \_\_\_\_\_

Title of activity, programme, project or other <sup>d</sup>	Objectives and description <sup>e</sup>	Time frame <sup>e</sup>	Recipient entity <sup>e</sup>	Channel <sup>e</sup>	Amount <sup>f</sup>		Status of activity <sup>f</sup>	Use, impact and estimated results <sup>f</sup>	Additional information
					Domestic currency	USD			

Table III.12

Information on support needed by developing country Parties for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including for transparency-related capacity-building<sup>a, b</sup>

Exchange rate used: \_\_\_\_\_

Title of activity, programme, project or other <sup>d</sup>	Objectives and description <sup>e</sup>	Expected time frame <sup>e</sup>	Recipient entity <sup>e</sup>	Channel <sup>e</sup>	Amount <sup>f</sup>		Status of activity <sup>f</sup>	Expected use, impact and estimated results <sup>f</sup>	Additional information
					Domestic currency	USD			
				Multilateral			Planned		
				Bilateral			Ongoing		
				Regional			Completed		
				Other (specify) <sup>d</sup>					

Notation keys: NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, summary information on support needed for implementing Article 13 and transparency-related activities, including for transparency-related capacity-building, to the extent possible and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If "other", Parties should specify this information.

### Custom footnotes

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.



Key items!

# Key items to pay attention to when filling in the CTFs

- ❑ The expected **frequency** of reporting, especially reporting on needs time frame
- ❑ The **notation keys** that can be used:
  - NA: not applicable
  - UA: unavailable
  - NR: not reported (to indicate voluntary character of the information)
- ❑ The need to **link the table to the section** of the BTR describing the underlying assumptions, definitions and methodologies used
- ❑ The possibility to provide **additional information** including on support to activities related to averting, minimizing and addressing **loss and damage** associated with climate change impacts
- ❑ **Sector** orientation of needs
- ❑ **Sector, status, type of support, financial instruments, etc.** have standardized options for reporting

# Reporting on “(expected) time frames”

...support needed and received, **to the extent possible, as available and as applicable**

...keep in mind the **two-year cycle** of the BTR

---

## Guidance on reporting “expected time frame” of the financial support needed (*Table III.6*)

Parties include information on support needed **from the reporting year of the BTR**

- Table III.6 - “*Expected time frame*”
  - e.g. reporting year 2024 = 2024 onwards
- 

## Guidance on reporting “time frame” of the financial support received (*Table III.7*)

Parties include information on support received, **ongoing or planned since the previous BTR**

- “applicable starting from the second round of BTRs”
- Table III.7 – two relevant parameters “*Time frame*” and “*Status of activity*”
- e.g. reporting year 2026 = support received between 2024-2026



Q&A

# Thank you for your attention

## Acknowledgments

This is a UNFCCC presentation, originally delivered as part of a  
2024 online workshop series on BTRs

**Brittany Meighan Rancharan**  
CBIT-GSP Regional Coordinator for the Anglophone Caribbean  
UNEP Copenhagen Climate Centre  
[Brittany.meighan@un.org](mailto:Brittany.meighan@un.org)