Capacity Building program for Indian Experts on National Greenhouse Gas Inventory preparation as per the ETF Guidelines



# Institutional Arrangement for National GHG Inventory

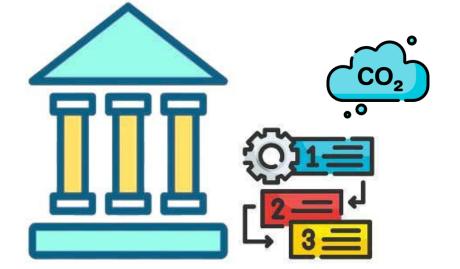
Jaypalsinh Chauhan

**Asia Transparency Network Coordinator** 

25 April 2024

Organized by the Capacity Building Initiative for Transparency Global Support Programme (CBIT-GSP)

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# Contents

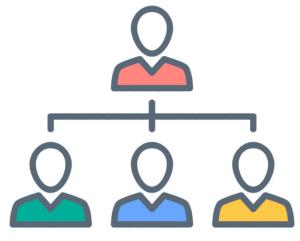
- 1. Introduction to the institutional arrangement
- 2. Overview of the current institutional arrangement of India for managing and overseeing emissions reporting
- 3. Discussion on roles and responsibilities of key stakeholders



# 1. Introduction to the institutional arrangement

# What are Institutional Arrangements?

- They are formal or informal institutional, legal and procedural agreements between the lead inventory agency, national inventory management team, and other institutions.
- They are specific to the circumstances of each nation.
- They define the responsibilities associated with preparing the national inventory, including which agencies and experts will provide data.



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# National Arrangements - Goals

□ Ensure that the inventory process is based on relevant COP decisions:

- Decision 17/CP.8 on the guidelines for non-Annex I Party national communications
- Other relevant COP decisions and SBSTA/SBI conclusions.
- □ Ensure that **nationally appropriate procedures** for collecting, processing, communicating and archiving inventory data and information are in place.
- □ Ensure **coordination** among all relevant government departments, national agencies, academia, research community and other stakeholders.
- □ Ensure the **quality** of the inventory data.

# Why do Institutional Arrangements matter for GHG Inventories?

They help a Party meet its reporting requirements under the Convention and the Paris Agreement by ensuring that GHG inventories are prepared in accordance with the relevant COP/CMA decisions.



#### **Relevant CoP/CMA decisions**

Decision 18 / CMA.1 adopted common Modalities, Procedures and Guidelines (MPGs) for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

X MPGs, para. 18 "Each Party should implement and maintain national inventory arrangements, including institutional, legal and procedural arrangements for the continued estimation, compilation and timely reporting of national inventory reports in accordance with these MPGs."

"National inventory arrangements can vary by Party depending on their national circumstances and preferences and change over time".



# What are the Major Benefits of Institutional Arrangements?



Provide structure and confidence in the inventory process.



Designate agency or person responsible, so inventory preparers can be confident that data is available.



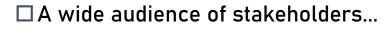
Clarify roles and responsibilities early in the process. The Inventory team knows who will do what and who will lead.



#### What are National Arrangements for?

- □ Help each Party meet reporting requirements by ensuring that the GHG inventory is
  - prepared in accordance with the relevant COP decisions (e.g., 17/CP.8, 18/CMA.1, 5/CMA.3).
- □ To ensure continuity through the development of national capacities and capabilities
- □ To ensure the sustainability of the GHG preparation process:
  - Will also help with the regular preparation of biannual reports in accordance with relevant decisions under the UNFCCC process.
- □ To inform international, national and local policy making.
- □ To foster consistent estimation approaches across government agencies and offices.
- □ To coordinate responses to requests for information.
- □ To ensure high quality and objective inventory information.

#### Who cares?



- Decision makers and policy advisors
- International climate change community
- Provincial and local agencies
- The public and interest groups (e.g., NGOs)
- ✤ Businesses
- Scientists.

Reference: UNFCCC CGE Training material



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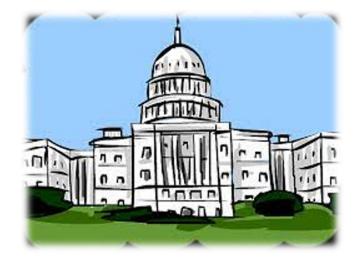
### **National Government**



□ What are the main **drivers of emissions** and removals?

□ What are the **uncertainties** and **reliability** of the GHG estimates?

- □ What are the past **trends** of **emissions** and **removals** (nationally and from individual activities)?
- □ What are the effects of existing or planned policies and measures (including policies that may aggravate emissions)?
- □ Is there consensus among government agencies and key stakeholders on our emission estimates?



## Other Stakeholders

#### □ International community:

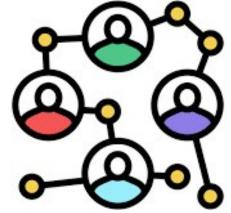
- Are your GHG estimates credible and transparent?
- Does your GHG inventory fulfil the reporting requirements of the **UNFCCC?**

#### □ Businesses and NGOs:

- How to quantify and get credit for activities that reduce emissions or sequester carbon?
- What activities, industries, companies or policies have been responsible for significant increases or decreases in GHG emissions or removals?

#### □ Scientists:

- Where does our country lack information to support political decisions?
- What are the priorities for research and measurement?
- ✤ What are the scientific uncertainties in the emission and sink estimates?





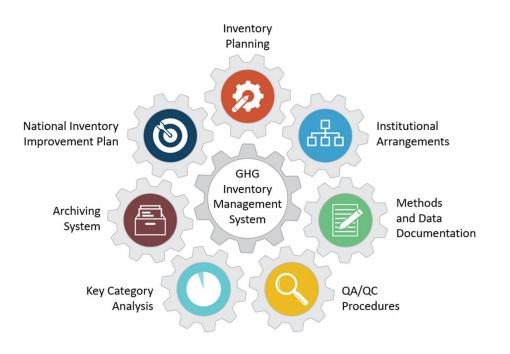




## **Inventory Management System**

□ Three key stages:

- ✤ Planning
- Preparation (e.g., data collection and GHG estimation)
- Management (cross-cutting issues, reporting, documenting, archiving).



## **Inventory Planning**

□ Identify all institutions to be involved:

- Appoint national inventory agency
- Allocate responsibilities for inventory preparation and management
- Define formal approval process within government.

#### □ Develop schedule:

- Timeframe and specific milestones
- Make arrangements to collect data from statistical agencies, companies, industry associations, etc.

□ Create QA/QC plan.

□ Integrate continuous improvement.





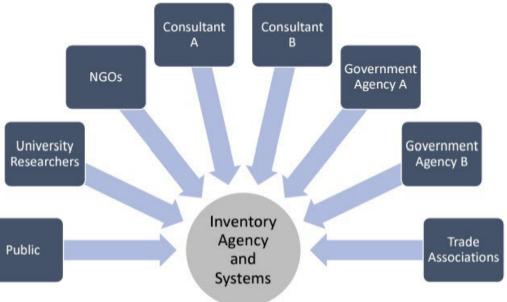
# Planning arrangements for inventory compilation

Requirement of Institutional Arrangement pops up under planning stage (planning arrangements) of the GHG Inventory Compilation Cycle.

- **1. Determine Lead Agency/ National Focal Point:** oversees planning, compilation of report, review, documentation.
- 2. Identify/Establish arrangements: between coordination agency and data institutions, such as universities.
- **3. Gather Inventory compilers:** team of experts that are within a specific ministry, are consultants, etc.



Planning should include identifying the arrangements (e.g. Memorandum of Understanding, letters, etc.) required to clearly articulate roles/ responsibilities and the anticipated flow of information







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#### **Functions of Sustainable Institutional Arrangement**

PLANNING	Appointing teams, identifying contributing organizations Establishing coordination mechanisms, agreeing to approval process Planning funding allocation and budget, etc		
PREPARATION	Holding first coordination meeting, consulting stakeholders, agreeing to millestones and timelines		
REPORTING	Reviewing first drafts Compiling and finalizing all information, editing and creating document, preparing for approval process and submission		
DOCUMENTING AND ARCHIVING	Establishing procedures to ensure regular and systematic documentation and archiving in order to enhance transparency and ensure sustainability of the process		
EVALUATION	Identifying lessons learned, strengths and weaknesses, opportunities for improvement		
NATIONAL CONSULTATION PROCESS	Validation of the report through consultation with national stakeholders		
APPROVAL AND SUBMISSION	Getting the report approved by relevant approving government authority and submitting it to the UNFCCC secretariat		

# Other key points





Institutional arrangements include the interactions between organisations that are involved with the GHG inventory inputs, compilation processes, and outputs.



 This could include environment, energy, transport, agricultural, and statistical ministries and/or agencies, academic/research institutions, private organisations and career experts and consultants.



Countries may also consider the need for new or modified laws or directives establishing requirements for data collection, archiving, reporting, and quality management to formalize institutional arrangements for national GHG inventory compilation in the context of existing national statistical data collection systems.



• A sustainable process for compilation and continuous improvement is an important aspect of institutional arrangements.



# What should you be aware of?



#### **Conflicts of Responsibility**

 multiple people/agencies assigned the same task



#### **Unassigned Responsibility**

 an inventory function is not assigned to a ministry or staff member at all

# GHG Inventory Agency: Responsibilities



□ A single national entity to be responsible for the overall inventory, to:

- Arrange with collaborating entities that contribute data, research, estimate emissions or provide expert reviews
- Act as the legal authority to collect and disseminate data necessary for the preparation of the inventory
- Ensure inventory processes are in compliance with COP decisions
- Define and apply procedures for collecting data, preparing inventory, communicating results, submitting report and archiving
- ✤ Liaise among government departments, national agencies
- Ensure the implementation of QA/QC.



## **GHG Inventory Preparation**



- □ Identify **key categories** and significant subcategories (see IPCC good practice guidance (2000) chapter 7 and IPCC good practice guidance (2003) chapter 5).
- □ Select **methods** and **emission factors** (GPG decision trees at sector category level).
- □ Collect **activity data** (both statistical and parametric).
- □ Manage **recalculations** (if needed) (see IPCC good practice guidance (2000) chapter 7 and IPCC good practice guidance (2003) chapter 5).
- □ Implement QA/QC plan: (see IPCC good practice guidance (2000) chapter 8 and IPCC good practice guidance (2003) chapter 5)
  - Basic checks should be completed on entire inventory (Tier 1)
  - ✤ More in-depth investigations into key categories (Tier 2).

Documentation.



## **GHG Inventory Management**

- Implement inventory review processes (e.g., expert review, public review)
- □ Obtain **formal approval of final results** and report within government
- □ Submission of report to UNFCCC
- □ Make inventory information available to stakeholders and respond to information requests
- □ Archive all documentation and results
- $\Box$  Continuous improvement feedback.



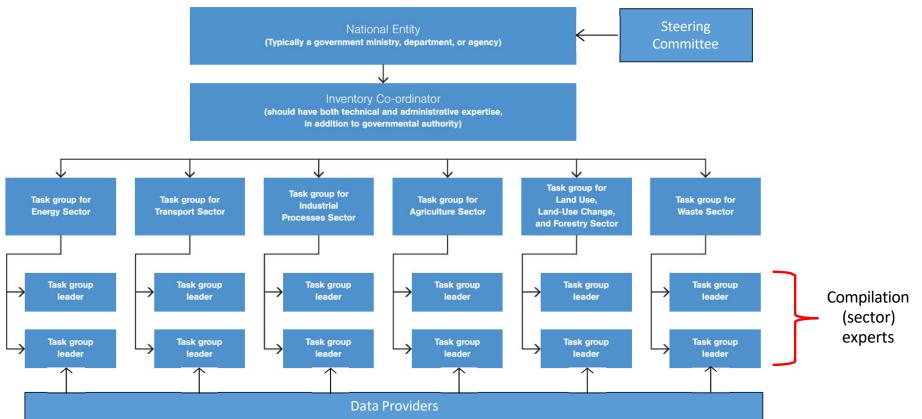






# 3. Discussion on roles and responsibilities of key stakeholders

# Possible structure for GHG inventory team



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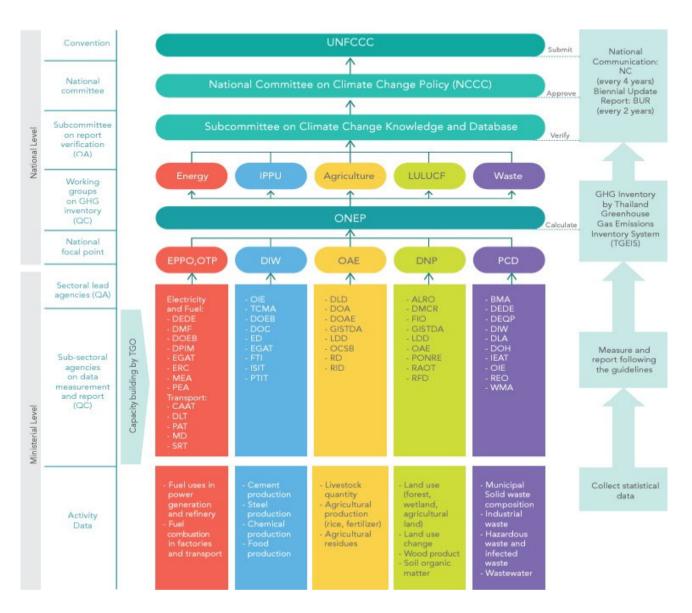
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\*\*\*There are numerous approaches other than use of a Steering committee that can bring outside input into the GHG inventory process, such as through a public review period for the GHG inventory.



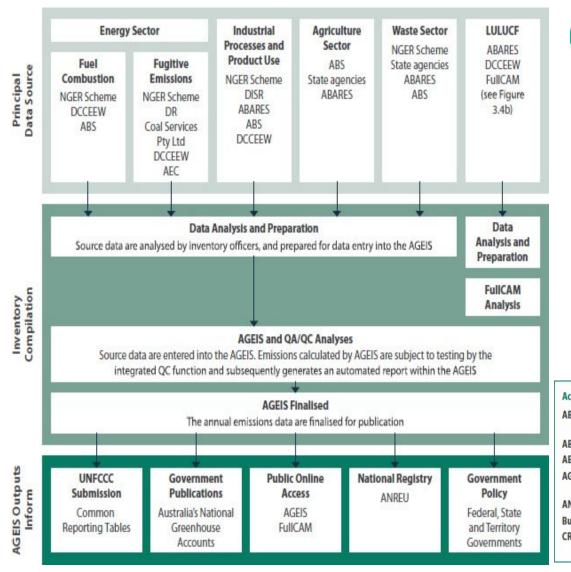
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#### **Example:**

Institutional Arrangements for National GHG Inventory preparation in **Thailand** 

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ALRO BMA CAAT DEDE DEUP DIW DLD DLD DLD DLD DLA DLT DMCR DMF DNP DOA DOAE	<ul> <li>Agricultural Land Reform Office</li> <li>Bangkok Metropolitan Administration</li> <li>The Civil Aviation Authority of Thailand</li> <li>Department of Alternative Energy Development and Efficiency</li> <li>Department of Environmental Quality Promotion</li> <li>Department of Industrial Works</li> <li>Department of Local Administration</li> <li>Department of Local Administration</li> <li>Department of Marine and Coastal Resources</li> <li>Department of Marine and Coastal Resources</li> <li>Department of Autional Parks, Wildlife and Plant Conservation</li> <li>Department of Agriculture</li> <li>Department of Agricultural Extension</li> </ul>
DOEB GISTL DOH ISIT EGAT MD ERAT MD ERC OAE FIO OCSB FTI OIE OTP PAT PCD PEA PONF PTIT RAOT RD REO RID RFD SRT TCMA	<ul> <li>Iron and Steel Institute of Thailand</li> <li>Land Development Department</li> <li>Marine Department</li> <li>Metropolitan Electricity Authority</li> <li>Office of Agricultural Economics</li> <li>Office of Industrial Economics</li> <li>The Office of Transport and Traffic Policy and Planning</li> <li>Port Authority of Thailand</li> <li>Pollution Control Department</li> <li>Provincial Electricity Authority</li> </ul>





Institutional Arrangements for National GHG Inventory preparation in Australia

Department of Climate Change, Energy, the Environment and Water inventory asset structures and relationship

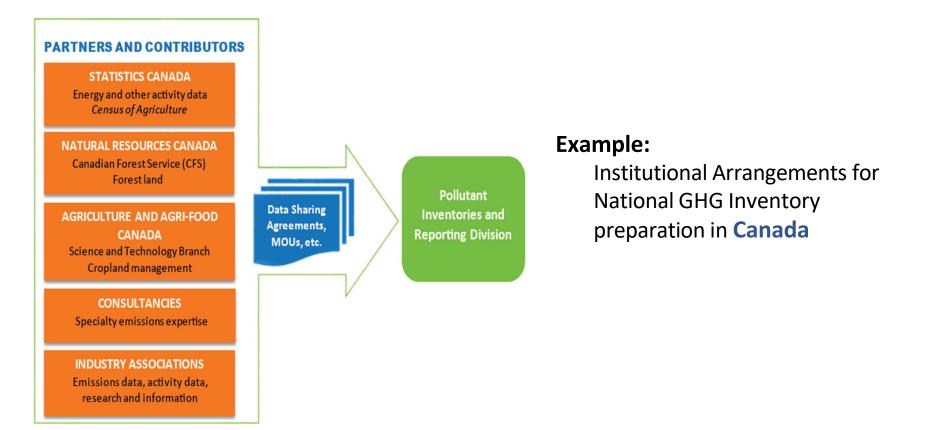
#### Acronym Key

BARES	Australian Bureau of Agricultural and Resource Economics and Sciences	DCCEEW	D
BS	Australian Bureau of Statistics	DISR	D
EC	Australian Electoral Commission	DR	De
GEIS	Australian Greenhouse Emissions Information System	FullCAM	Fu
NREU	Australian National Registry of Emissions Units	NGER	Na
ureau	Bureau of Meteorology	QA	Q
RC	Cooperative Research Centres	QC	Q

CCEEW	Department of Climate Change, Energy, the Environment and Water
ISR	Department of Industry, Science and Resources
R	Department of Resources (Queensland)
ulicam	Full Carbon Accounting Model
ULUCF	Land use, land use change and forestry
GER	National Greenhouse and Energy Reporting
A	Quality assurance
C	Quality control

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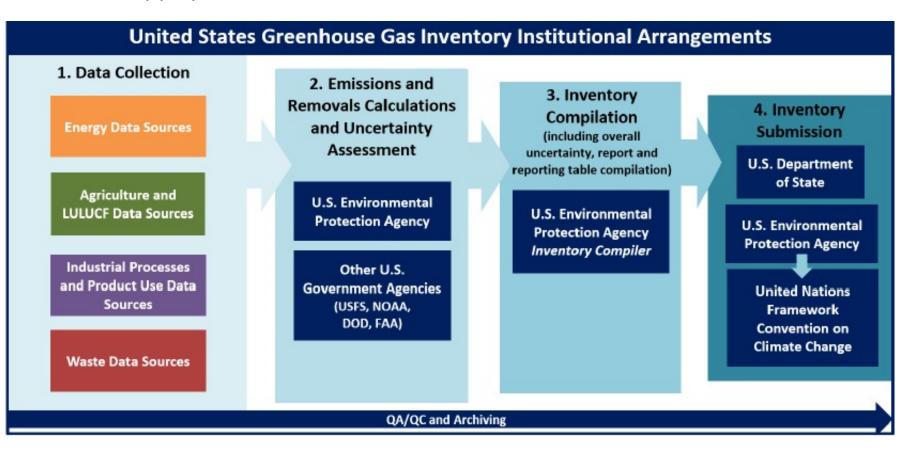


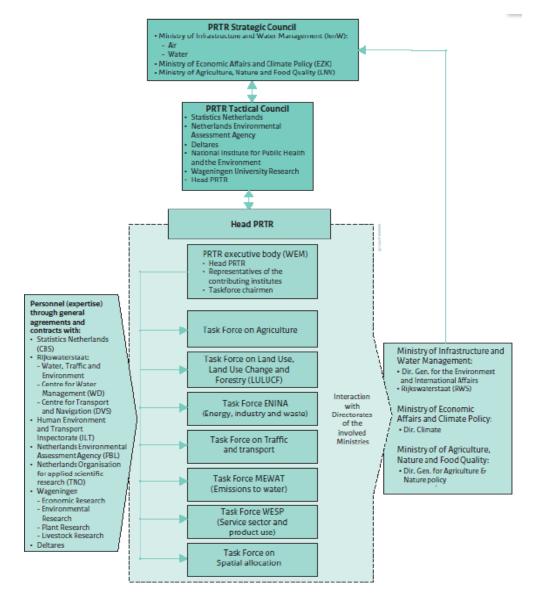


Note: An MOU or a memorandum of understanding is an agreement between two or more parties outlined in a formal document.

Institutional Arrangements for National GHG Inventory preparation in **United States** 

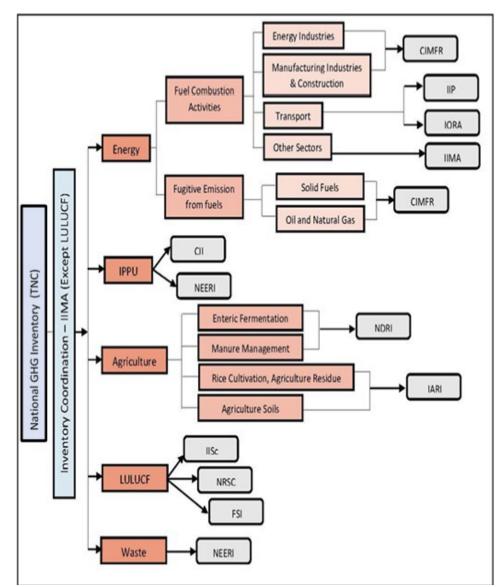








Organisational arrangements for Pollutant Release and Transfer Register (PRTR) system in **Netherlands** 





Institutional Arrangements for National GHG Inventory preparation in India

#### Acronyms:

CII: Confederation of Indian Industry, New Delhi

CIMFR: Central Institute of Mining and Fuel Research, Dhanbad

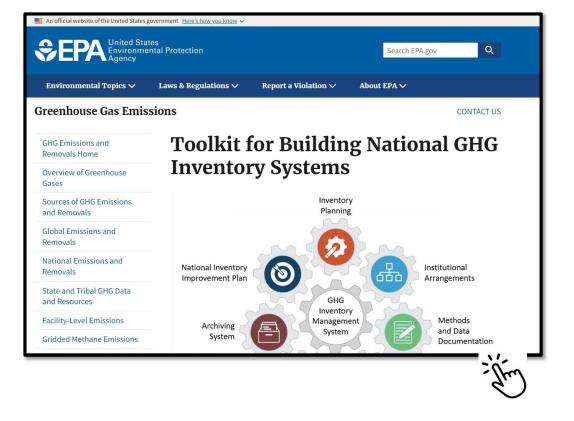
- FSI: Forest Survey of India, Dehradun
- IARI: Indian Agricultural Research Institute, New Delhi
- IIMA: Indian Institute of Management, Ahmedabad
- IIP: Indian Institute of Petroleum, Dehradun
- IISc: Indian Institute of Science, Bengaluru
- IORA: IORA Ecological Solutions, New Delhi
- NDRI: National Dairy Research Institute, Karnal
- NEERI: National Environmental Engineering Research Institute, Nagpur
- NRSC: National Remote Sensing Centre, Hyderabad

# Establishing systems and tools



#### Existing Supporting Templates and Guides under EPA Toolkit for Building National GHG Inventory Systems

- Memorandum of Cooperation Template
- <u>Sample Scope of Work Template</u>





## **US-EPA** Templates

 US-EPA – Template Workbook for Developing a National GHG Inventory System
 <u>http://epa.gov/climatechange/emissions/ghginventorycapacitybuilding/templates.ht</u> ml

STEP 1: Identify current inventory management team

- STEP 2: Provide sectoral roles and arrangements
- **\*** STEP 3: Provide improvements to institutional arrangements
- STEP 4: Review and complete inventory cycle timeline



#### **Designated GHG Inventory Agency**

Designated National GHG Inventory Preparation Agency/Organization	UNFCCC Focal Point (Name) and UNFCCC Focal Point Agency	Describe the arrangements or relationship between Inventory Agency/Organization and UNFCCC Focal Point Agency, if different.





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## **National Inventory Management Team**

Role	Name	Organization	Contact Information	Comments
Inventory Director/Coordinator				
Energy Sector Lead				
Industrial Processes Lead				
Agriculture Sector Lead				
LULUCF Sector Lead				
Waste Sector Lead				
Archive (Data and Document) Manager/Coordinator				
QA/QC coordinator				
Uncertainty Analysis coordinator				
Other: e.g., GHG Policy Specialist who tracks capacity building efforts and IPCC processes				



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# **Sectoral Institutional Arrangements**

Role	Organization	Contact(s) [Name]	Contact Information [E-Mail, Phone, etc.]	Participated in meetings on GHG inventory development? [Yes/No]	Comments [See instructions above]
Technical coordinator (Could be source/sector lead from Table 1.2)					
Consultant compiling estimates					
Expert reviewer					
Data provider					
Other					



#### Potential Improvements in the Management Structure of the National Inventory System

Sector	Strengths in Management Structure of National Inventory System	Potential Improvements in Management Structure of National Inventory System
Energy		
Industrial Processes		
Agriculture		
Waste		
LULUCF		
Other [Optional]		



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# Thank you for your attention !

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Please reach out to us for any question, comments or suggestions!



CBIT-GSP Asia Network Coordinator

Jaypalsinh CHAUHAN jaypalsinh.chauhan@un.org



CBIT-GSP Global Coordinator

Fatima-Zahra TAIBI fatima-zahra.taibi@un.org



CBIT-GSP Project Officer

Susanne KONRAD susanne.konrad@un.org



CBIT-GSP Transparency Adviser

Khetsiwe KHUMALO khetsiwe.khumalo@un.org



CBIT-GSP Project Officer

Juliette LUNEL juliette.lunel@un.org



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