

# BTR Reporting on support needed and received

## - Requirements, Definitions and Approaches



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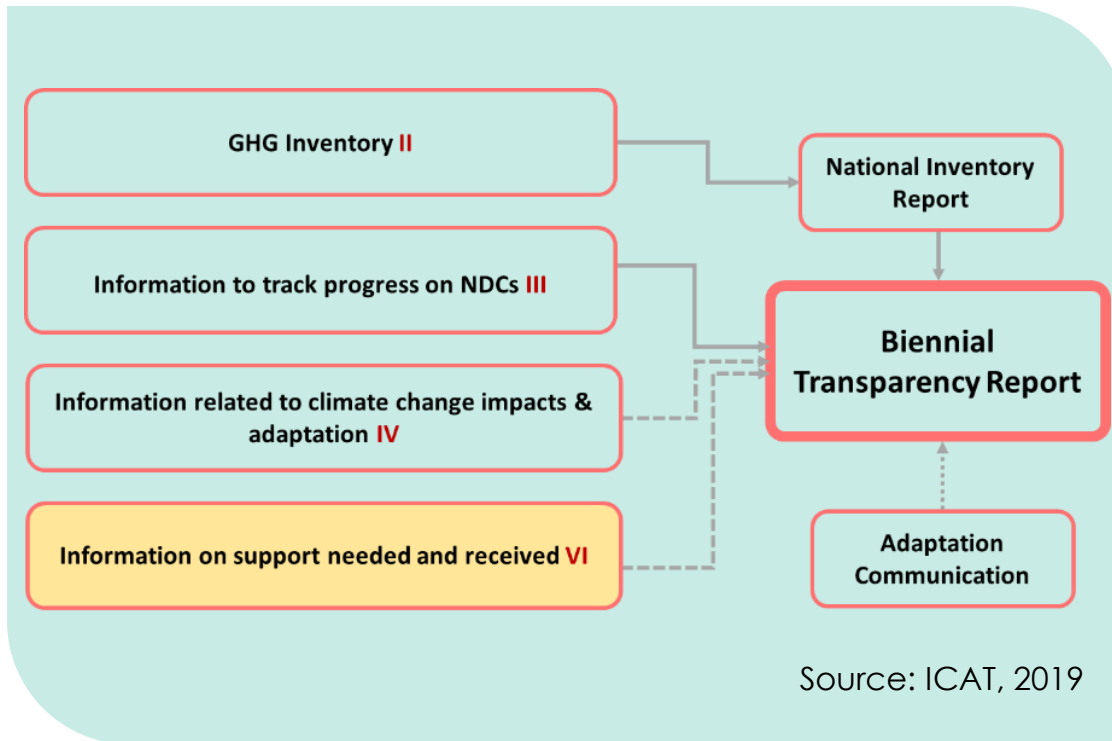
# Benefits of transparency of climate finance and support

Give decision makers continuous evidence they need to choose the right course of action and secure investments

Provide reliable information to the international community showing national achievements, build trust and attract investments

Meet the international UNFCCC reporting requirements and allow for continuous improvements

# Not mandatory



Common aspects between NC, BUR and BTR

Information on support needed and received:  
*should, encouraged and may*

# Requirements under the current reporting framework

Information to report	NC requirements	BUR requirements
Financial support needed	<i>Encouraged</i> to provide, to the extent their capacities permit, a list of projects proposed for financing <i>May</i> include information on opportunities for the implementation of adaptation measures, including pilot and/or demonstration adaptation projects, being undertaken or proposed.	<p><i>Should</i> provide updated information on constraints and gaps, and related financial, technical and capacity-building needs.</p> <p><i>Should</i> also provide updated information on financial resources, technology transfer, capacity-building and technical support received</p> <p>Source: UNFCCC, Decision 2/CP.17 Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention and its Annex III "UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention"</p>
Financial support received	<i>Should</i> also provide information on financial resources provided by Parties themselves and by the GEF, Annex II Parties or bilateral and multilateral institutions, for activities relating to climate change <i>May</i> include information on how support programmes from Parties included in Annex II to the Convention are meeting their specific needs and concerns relating to vulnerability and adaptation to climate change	
Technology development and transfer support needed	<i>Encouraged</i> to provide information on country-specific technology needs and assistance received from developed-country Parties and the financial mechanism of the Convention and, as appropriate, on how they have utilized this assistance in support of the development and enhancement of endogenous capacities, technologies and know-how <i>May</i> include information on opportunities for the implementation of adaptation measures, including pilot and/or demonstration adaptation projects, being undertaken or proposed.	
Technology development and transfer support received	<i>Should</i> provide information on technical support provided by Parties themselves and by the GEF, Annex II Parties or bilateral and multilateral institutions, for activities relating to climate change	
Capacity-building support needed	<i>Should</i> describe any constraints and gaps, and related financial, technical and capacity needs, as well as proposed and/or implemented activities for overcoming the gaps and constraints	
Capacity-building support received	<i>Encouraged</i> to provide information on how capacity-building activities, are being implemented at national / subregional and/or regional levels. This could include, options and priorities for capacity-building, participation in and promotion of South–South cooperation, the involvement of stakeholders, coordination and sustainability of capacity-building activities, and the dissemination and sharing of information on capacity- building activities	
Support needed and received for the implementation of transparency-related activities	<i>Should</i> provide information on financial resources and technical support for the preparation of their NC provided by themselves, as well as those received from the Global Environment Facility (GEF), Annex II Parties or bilateral and multilateral institutions	
	Source: UNFCCC, Decision 17/CP.8 Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention	

# Requirements under the ETF - Textual format

## **National circumstances and institutional arrangements for reporting on support needed and received:**

- The systems and processes to identify, track and report support needed and received, including challenges and limitations
- Priorities and strategies and any NDC aspects that need support

## **Assumptions, definitions and methodologies used to provide information to:**

- Convert domestic currency into US dollars
- Estimate the amount of support needed
- Give the reporting year or time frame
- Identify support as coming from specific sources
- Identify support as committed, received or needed
- Identify and report the status of the supported activity
- Identify and report the channel (bilateral, regional or multilateral)
- Identify and report the type of support
- Identify and report the financial instrument
- Identify and report sectors and subsectors
- Report on the use, impact and estimated results
- Identify and report support as contributing to technology development and transfer and/or capacity-building
- Identify and report support as anchored in a national strategy and/or NDC
- Avoid double-counting in reporting information on support needed and received to implement Article 13 of the Paris Agreement and transparency-related activities.

# Requirements under the ETF - Textual format

## Financial support

- Support needed to fulfil the NDC
- How the support will contribute to the NDC and to the long-term goals of the Paris Agreement
- Description of sectors

## Technology development and transfer (TDT)

- Plans, needs and priorities related to TDT, including those identified in TNA,
- TDT needs for the enhancement of endogenous capacities and technologies
- Case studies (success and failures) for TDT support received
- How the support contributes to TDT, endogenous capacities and know-how
- The stage of the technology cycle supported, including research and development, demonstration, deployment, diffusion and transfer of technology

## Capacity Building support (CB)

- Approach to enhance CB support
- CB needs, constraints and gaps in communicating needs, and how CB will improve the provision of such information
- Processes for enhancing public awareness and participation and access to information related to CB
- Case studies (success and failures) for CB support received
- How support has enhanced capacities
- CB support received at the national and, where appropriate, sub-regional and regional level, including priorities, participation and the involvement of stakeholders

## Transparency

- Support needed and received for preparing reports pursuant to Article 13
- Support needed and received for addressing the areas for improvement identified by the technical expert review teams

# Tabular formats for financial support needed

Table III.6

Information on financial support needed by developing country Parties under Article 9 of the Paris Agreement<sup>a, b</sup>

Exchange rate used: \_\_\_\_\_

Sector <sup>c</sup>	Subsector <sup>c</sup>	Title of activity, programme, project or other <sup>c, d</sup>	Programme/ project description <sup>c</sup>	Estimated amount (climate-specific) <sup>c</sup>		Expected time frame <sup>e</sup>	Expected financial instrument <sup>c</sup>	Type of support <sup>c</sup>	Contribution to technology development and transfer objectives <sup>c</sup>	Contribution to capacity-building objectives <sup>c</sup>	Whether the activity is anchored in a national strategy and/or an NDC <sup>c</sup>	Expected use, impact and estimated results <sup>c</sup>	Additional information <sup>f</sup>
				Domestic currency	USD								
Energy							Grant	Adaptation	Insert 1 for	Insert 1 for	Insert 1 for		
Transport							Concessional loan	Mitigation	Yes, 0 for	Yes, 0 for	Yes, 0 for		
Industry							Non-concessional loan	Cross-cutting <sup>e</sup>	No	No	No		
Agriculture							Equity						
Forestry							Guarantee						
Water and sanitation							Insurance						
Cross-cutting							Other (specify) <sup>d</sup>						
Other (specify) <sup>d</sup>													

Notation keys: NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in a common tabular format, information on financial support needed, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If “other”, Parties should specify this information.

<sup>e</sup> This refers to funding for activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

## Custom footnotes

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# Tabular formats for financial support received

Table III.7

## Information on financial support received by developing country Parties under Article 9 of the Paris Agreement<sup>a, b</sup>

Exchange rate used: \_\_\_\_\_

Title of activity, programme, project or other <sup>c, d</sup>	Programme/project description <sup>f</sup>	Channel <sup>e</sup>	Recipient entity <sup>e</sup>	Implementing entity <sup>e</sup>	Amount received (climate-specific) <sup>f</sup>		Time frame <sup>e</sup>	Financial instrument <sup>e</sup>	Status <sup>e</sup>	Type of support <sup>e</sup>	Sector <sup>e</sup>	Subsector <sup>e</sup>	Contribution to technology development and transfer objectives <sup>e</sup>		Contribution to capacity-building objectives <sup>e</sup>		Status of activity <sup>e</sup>	Use, impact and results <sup>e</sup>	Additional information <sup>f</sup>
					Domestic currency	USD							Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No					
		Multilateral						Grant	Committed	Adaptation	Energy			Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No		Planned		
		Bilateral						Concessional loan	Received	Mitigation	Transport			Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No		Ongoing		
		Regional						Non-concessional loan		Cross-cutting <sup>e</sup>	Industry			Insert 1 for Yes, 0 for No	Insert 1 for Yes, 0 for No		Completed		
		Other (specify) <sup>d</sup>						Equity			Agriculture								
								Guarantee			Forestry								
								Insurance			Water and sanitation								
								Other (specify) <sup>d</sup>			Cross-cutting								
											Other (specify) <sup>d</sup>								

Notation keys: NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, information on financial support received, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.

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# Tabular formats for technology development and transfer support needed

Table III.8

**Information on technology development and transfer support needed by developing country Parties under Article 10 of the Paris Agreement<sup>a, b</sup>**

<i>Sector<sup>c</sup></i>	<i>Subsector<sup>c</sup></i>	<i>Title of activity, programme, project or other<sup>c, d</sup></i>	<i>Programme/project description<sup>c</sup></i>	<i>Type of support<sup>c</sup></i>	<i>Type of technology<sup>c</sup></i>	<i>Expected time frame<sup>c</sup></i>	<i>Expected use, impact and estimated results<sup>c</sup></i>	<i>Additional information<sup>f</sup></i>
Energy				Mitigation				
Transport				Adaptation				
Industry				Cross-cutting <sup>e</sup>				
Agriculture								
Forestry								
Water and sanitation								
Cross-cutting								
Other (specify) <sup>d</sup>								

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in a common tabular format, information on technology development and transfer support needed, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If “other”, Parties should specify this information.

<sup>e</sup> This refers to activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

## Custom footnotes

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# Tabular formats for technology development and transfer support received

Table III.9

Information on technology development and transfer support received by developing country Parties under Article 10 of the Paris Agreement<sup>a, b</sup>

<i>Title of activity, programme, project or other<sup>d</sup></i>	<i>Programme/project description<sup>f</sup></i>	<i>Type of technology<sup>f</sup></i>	<i>Time frame<sup>f</sup></i>	<i>Recipient entity<sup>f</sup></i>	<i>Implementing entity<sup>f</sup></i>	<i>Type of support<sup>f</sup></i>	<i>Sector<sup>f</sup></i>	<i>Subsector<sup>f</sup></i>	<i>Status of activity<sup>f</sup></i>	<i>Use, impact and estimated results<sup>c</sup></i>	<i>Additional information<sup>f</sup></i>
						Mitigation	Energy		Planned		
						Adaptation	Transport		Ongoing		
						Cross-cutting <sup>e</sup>	Industry		Completed		
					Agriculture						
					Forestry						
					Water and sanitation						
					Cross-cutting						
						Other (specify) <sup>d</sup>					

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, information on technology development and transfer support received, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If “other”, Parties should specify this information.

<sup>e</sup> This refers to activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

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# Tabular formats for capacity building support needed

Table III.10

Information on capacity-building support needed by developing country Parties under Article 11 of the Paris Agreement<sup>a, b</sup>

<i>Sector<sup>c, d</sup></i>	<i>Subsector<sup>c</sup></i>	<i>Title of activity, programme, project or other<sup>c, d</sup></i>	<i>Programme/project description<sup>c</sup></i>	<i>Type of support<sup>e</sup></i>	<i>Expected time frame<sup>b</sup></i>	<i>Expected use, impact and estimated results<sup>b</sup></i>	<i>Additional information<sup>f</sup></i>
Energy				Mitigation			
Transport				Adaptation			
Industry				Cross-cutting <sup>e</sup>			
Agriculture							
Forestry							
Water and sanitation							
Cross-cutting							
Other (specify) <sup>d</sup>							

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, information on capacity-building support needed, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If “other”, Parties should specify this information.

<sup>e</sup> This refers to activities that have both mitigation and adaptation components.

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

...  
*Custom footnotes*

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# Tabular formats for capacity building support received

Table III.11

Information on capacity-building support received by developing country Parties under Article 11 of the Paris Agreement<sup>a, b</sup>

<i>Title of activity, programme, project or other<sup>c, d</sup></i>	<i>Programme/project description<sup>e</sup></i>	<i>Time frame<sup>e</sup></i>	<i>Recipient entity<sup>e</sup></i>	<i>Implementing entity<sup>e</sup></i>	<i>Type of support<sup>e</sup></i>	<i>Sector<sup>e</sup></i>	<i>Subsector<sup>e</sup></i>	<i>Status of activity<sup>e</sup></i>	<i>Use, impact and estimated results<sup>e</sup></i>	<i>Additional information<sup>f</sup></i>
					Mitigation	Energy		Planned		
					Adaptation	Transport		Ongoing		
					Cross-cutting <sup>e</sup>	Industry		Completed		
						Agriculture				
						Forestry				
						Water and sanitation				
						Cross-cutting				
						Other (specify) <sup>d</sup>				

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, information on capacity-building support received, to the extent possible, as available and as applicable.

<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If “other”, Parties should specify this information.

<sup>e</sup> This refers to activities that have both mitigation and adaptation components

<sup>f</sup> Report, to the extent possible, information on the project/programme and implementing agency and provide a link to any relevant documentation and as appropriate, support to activities related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

...  
*Custom footnotes*

The underlying assumptions, definitions and methodologies of the information in this CTF is available at link/page number of the BTR.

# Tabular formats for transparency support needed

Table III.12

**Information on support needed by developing country Parties for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including for transparency-related capacity-building<sup>a, b</sup>**

Exchange rate used: \_\_\_\_\_

<i>Title of activity, programme, project or other<sup>c, d</sup></i>	<i>Objectives and description<sup>c</sup></i>	<i>Expected time frame<sup>c</sup></i>	<i>Recipient entity<sup>c</sup></i>	<i>Channel<sup>c</sup></i>	<i>Amount<sup>c</sup></i>		<i>Status of activity<sup>c</sup></i>	<i>Expected use, impact and estimated results<sup>c</sup></i>	<i>Additional information</i>
					<i>Domestic currency</i>	<i>USD</i>			
				Multilateral			Planned		
				Bilateral			Ongoing		
				Regional			Completed		
				Other (specify) <sup>d</sup>					

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, summary information on support needed for implementing Article 13 and transparency-related activities, including for transparency-related capacity-building, to the extent possible and as applicable.

<sup>b</sup> Parties include information on support needed from the reporting year of the BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

<sup>d</sup> If “other”, Parties should specify this information.

## Custom footnotes

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# Tabular formats for transparency support received

Table III.13

**Information on support received by developing country Parties for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including for transparency-related capacity-building<sup>a, b</sup>**

Exchange rate used: \_\_\_\_\_

<i>Title of activity, programme, project or other<sup>c, d</sup></i>	<i>Objectives and description<sup>c</sup></i>	<i>Time frame<sup>c</sup></i>	<i>Recipient entity<sup>c</sup></i>	<i>Channel<sup>c</sup></i>	<i>Amount<sup>c</sup></i>		<i>Status of activity<sup>c</sup></i>	<i>Use, impact and estimated results<sup>c</sup></i>	<i>Additional information</i>
					<i>Domestic currency</i>	<i>USD</i>			
				Multilateral			Planned		
				Bilateral			Ongoing		
				Regional			Completed		
				Other (specify) <sup>d</sup>					

*Notation keys:* NA = not applicable; UA = information not available at the time of reporting; NR = not reported (to indicate the voluntary character of the information).

<sup>a</sup> Developing country Parties should provide, in common tabular format, summary information on support received for implementing Article 13 and transparency-related activities, including for transparency-related capacity-building, to the extent possible and as applicable.

<sup>b</sup> Parties include information on support received, ongoing or planned since the previous BTR.

<sup>c</sup> Parties provide the underlying assumptions, definitions and methodologies, as applicable, used to identify and/or report this reporting parameter in the respective section of the BTR.

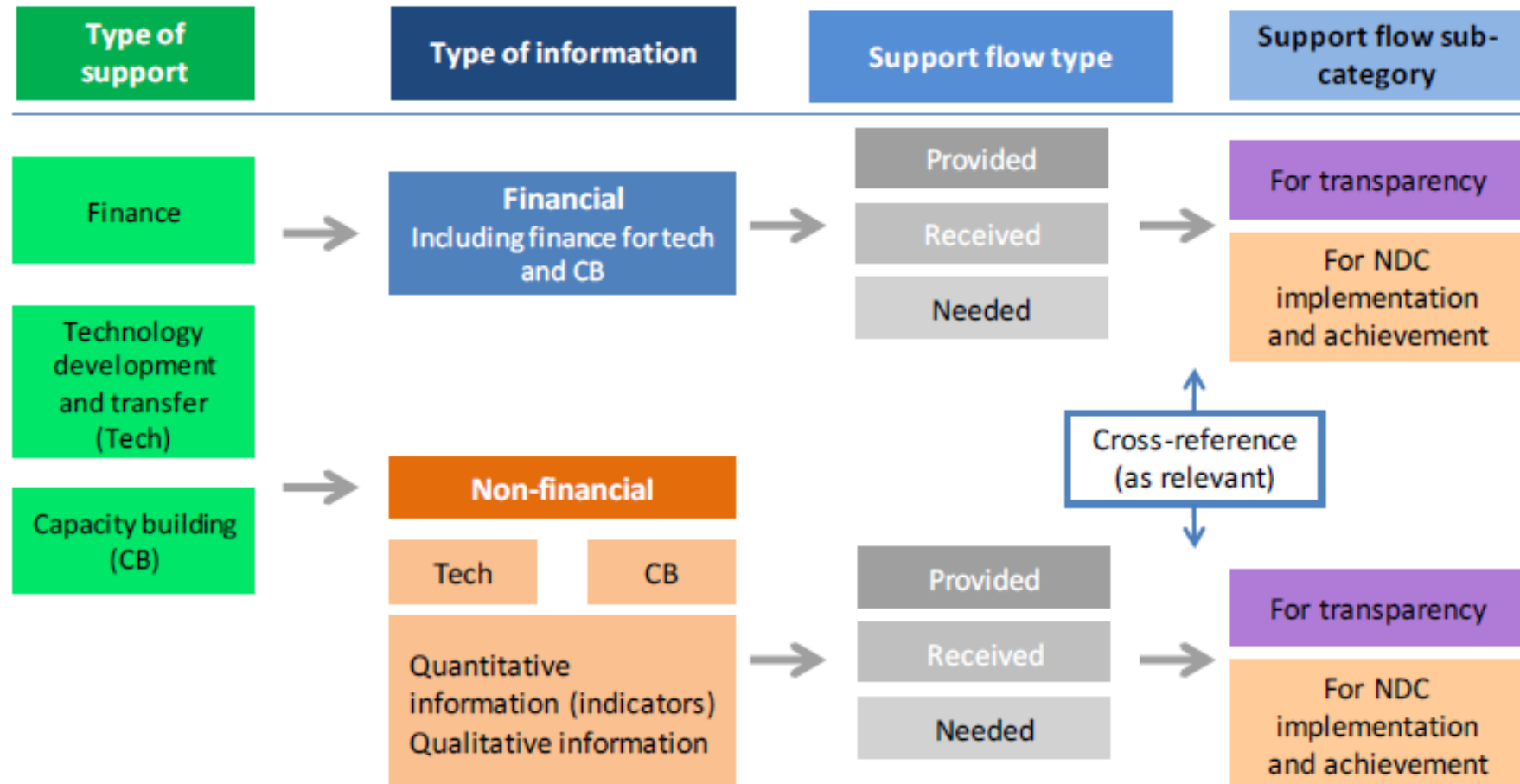
<sup>d</sup> If “other”, Parties should specify this information.

...  
*Custom footnotes*

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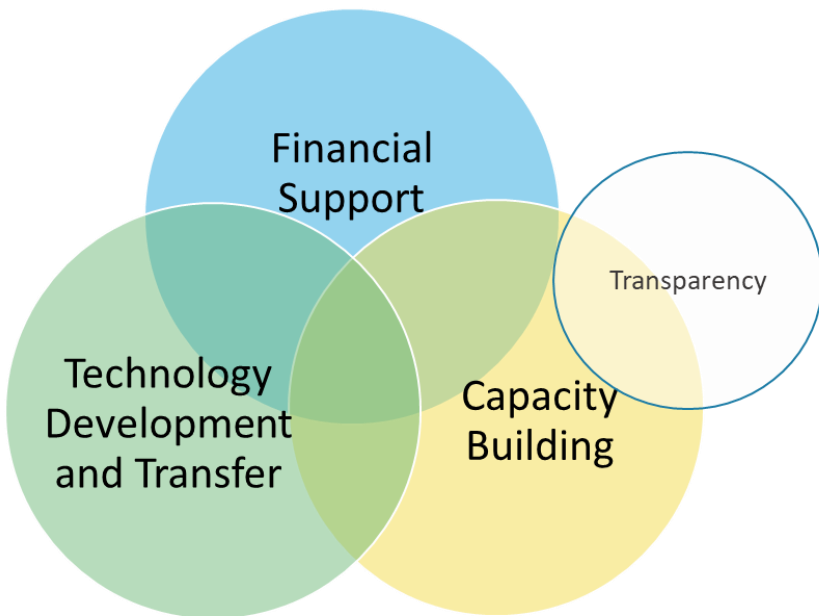
# Cross-referencing between support types

Figure 1. Potential types of support-related information



Source: OECD, 2018: *Reporting on capacity-building and technology support under the Paris Agreement: Issues and options for guidance*

# Cross-referencing between support types



Information to report	F N	F R	TD TN	TD TR	CB N	CB R	ST
Title	X	X	X	X	X	X	X
Programme/project description	X	X	X	X	X	X	X
Channel		X					X
Recipient Entity		X		X		X	X
Implementing entity		X		X		X	
Type of technology			X	X			
Estimated or actual amount (domestic currency and USD)	X	X					X
Expected or actual time frame	X	X	X	X	X	X	X
Expected or utilized financial instrument	X	X					
Status (committed or received)		X					
Type of support (mitigation, adaptation or cross-cutting)	X	X	X	X	X	X	
Sector and subsector	X	X	X	X			
Whether the activity will contribute to technology development and transfer and/or capacity-building	X	X					
Status of activity (planned, ongoing or completed)		X		X		X	X
Whether the activity is anchored in a national strategy and/or NDC	X						
Expected and achieved use, impact and estimated results	X	X	X	X	X	X	X

Potential double counting



No double counting



# Take home points

## NOT mandatory

The provision of information on support needed and received is still not mandatory for developing countries. As such, it is not a requirement but countries should strive to report this information

- Recognition of effectiveness of support
- Cross referencing with support provided
- Identification of needs and attracting further support

## ETF MPG

The MPG for Article 13 provide much more details on the relevant information to be reported on support needed and received.

- Streamlining information between parties
- Guide the collection of relevant data

## Challenges

There are different national circumstances and capacities between countries, including arrangements to capture the information.

- Institutional arrangements
- Differences and uncertainty on definitions and methods
- Guidance and capacity to assess support needed and received

# Defining Climate Finance and Climate Support

Not all climate finance is support

Not all support is climate finance



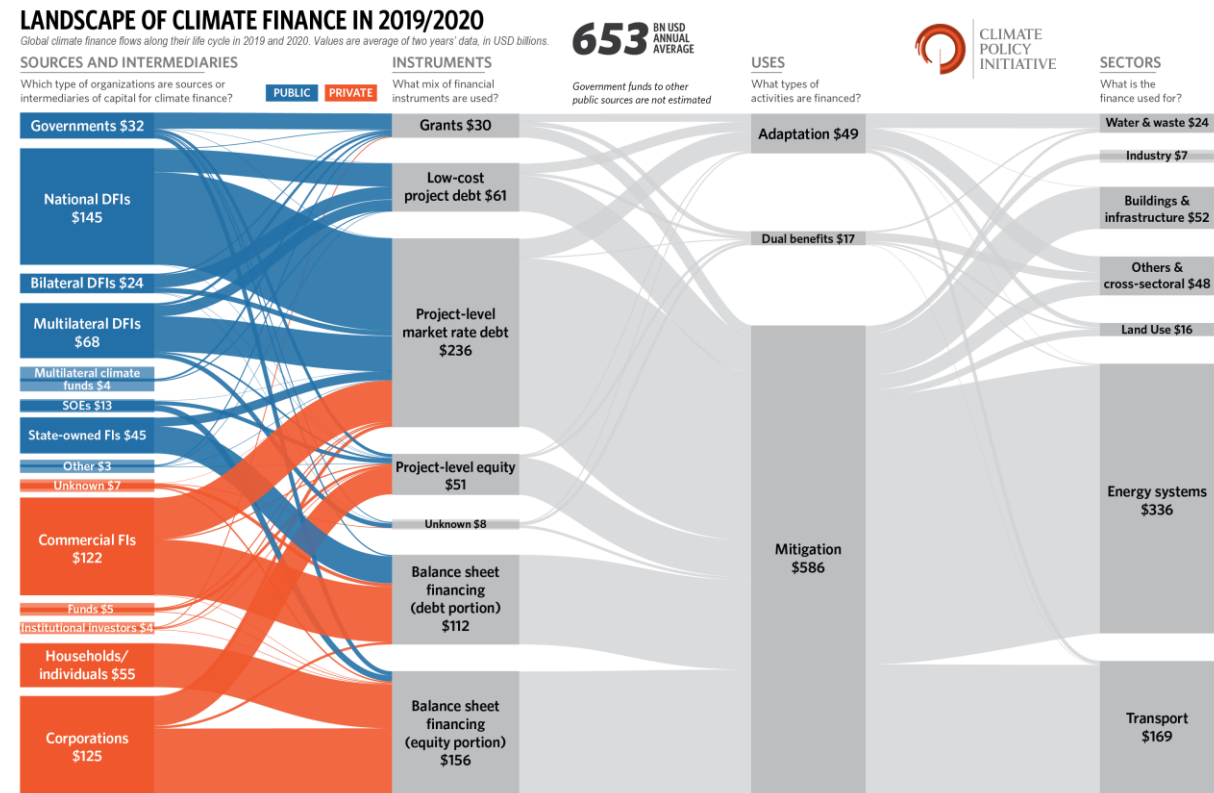
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# Climate Finance vs Financial Support

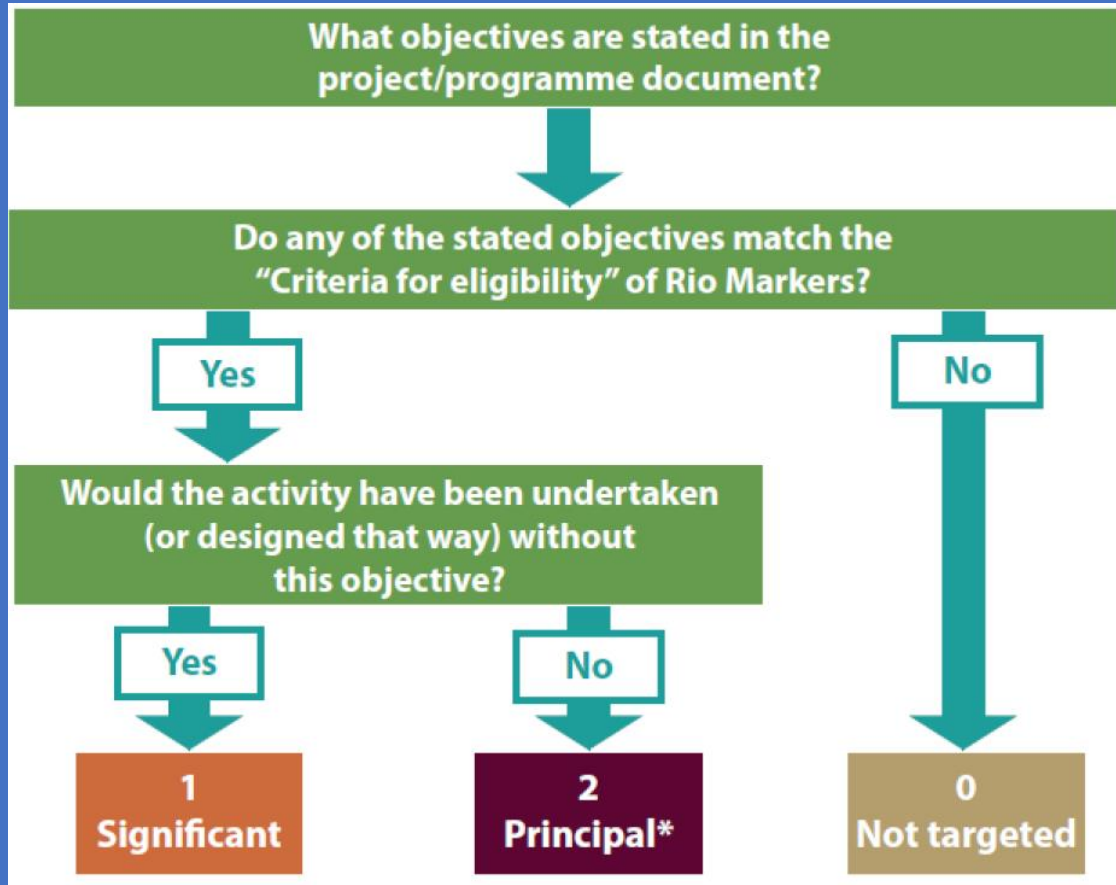
- MRV of support and climate finance is often used interchangeably.
- Climate finance is broader and generally includes all climate related expenditures.
- Support implies a source and/or recipient of funds (provided vs received)

No global agreed upon definition for climate finance  
UNFCCC and CPI:

*"Aims ("consistent with" in PA) at reducing emissions, and enhancing sinks of GHG and aims at reducing vulnerability, and maintaining and increasing the resilience of human and ecological systems to negative climate change impacts"*



# How donors see it - provided - Rio Markers



Source: OECD, *OECD DAC Rio Markers for Climate Handbook*

The screenshot shows the OECD.org website with the following elements:

- Navigation menu: Data, Publications, More sites, News, Job vacancies.
- OECD logo: "BETTER POLICIES FOR BETTER LIVES".
- 60 YEARS anniversary banner.
- Search bar: "Google Custom search".
- Menu items: OECD Home, About, Countries, Topics, Coronavirus (COVID-19), Français.
- Breadcrumbs: OECD Home > Development Co-operation Directorate > Financing for sustainable development > Development finance topics > Climate Change: OECD DAC External Development Finance Statistics.
- Section title: "Climate Change: OECD DAC External Development Finance Statistics".
- Text: "OECD development finance statistics capture an integrated picture of both bilateral and multilateral climate-related external development finance flows."
- Section: "Explore statistics with the following:"
- Image: "Climate-related Development Finance Data" cover.
- List of resources:
  - Definition and guidance for the Climate Rio Markers
  - Guidance table for Climate Change Rio markers (xls)
  - Methodological note on the OECD-DAC climate-related development finance databases (pdf)
  - Results of the survey on the coefficients applied to Rio Marker data when reporting to the UN Conventions on Climate Change and Biodiversity (pdf)
  - Imputed multilateral shares (xlsx)
  - Climate-related bilateral development finance by objective (xlsx)
  - Climate-related development finance at the activity level:
    - Recipient perspective (Excel): 2000-2009, 2010-2011, 2012-2013, 2014-2015, 2016, 2017, 2018
    - Provider perspective (Excel): 2012-2013, 2014-2015, 2016, 2017, 2018

OECD DAC External Development Finance Statistics: <http://www.oecd.org/dac/financing-sustainable-development/development-finance-topics/climate-change.htm>

# How (some) developed countries report support provided

Table 1. Diversity of approaches in accounting and reporting to the UNFCCC for bilateral public climate finance (2013–2014).

	Coverage			Point of measurement		Component approach	Quantification		Format of data	
	ODA	OOF	Inclusion of "coal finance"	Commitments	Disbursements		Coefficient on Rio marker "Principal"	Coefficient on Rio marker "Significant"	Project level	Aggregates or semi-aggregates
Australia	✓	✓	✓		✓		100%	30% <sup>a</sup>		✓
Austria	✓	✓		✓			100%	50%		✓
Belgium	✓	✓			✓		Range of coefficients		✓	
Canada	✓				✓		100%	_b		✓
Denmark	✓			✓	✓		100%	100%	✓	
EU Institutions	✓	✓		✓			100%	50%		✓
Finland	✓				✓		Range of coefficients			✓
France	✓	✓		✓		✓	100%	40%	✓	
Germany	✓	✓		✓	✓		100%	50%	✓	✓
Greece	✓				✓		100%	100%	✓	
Iceland	✓			✓			100%	100%		✓
Ireland	✓				✓		100%	50%		✓
Italy	✓	✓		✓	✓		100%	40%		✓
Japan	✓	✓	✓	✓ <sup>c</sup>	✓ <sup>d</sup>		100%	100%		✓
Luxembourg	✓	✓			✓		100%	100%		✓
Netherlands	✓				✓		100%	40%		✓
New Zealand	✓				✓		100%	30% <sup>e</sup>		✓
Norway	✓				✓		100%	100%		✓
Portugal	✓	✓		✓			100%	0%		✓
Spain	✓	✓			✓		100%	20–40% <sup>f</sup>	✓	✓
Sweden	✓			✓	✓		100%	40%	✓	
Switzerland	✓				✓		51–100%	1–50%		✓
United Kingdom	✓				✓	✓	Uses another methodology for its reporting to the UNFCCC		✓	✓
United States	✓	✓		✓			Use another methodology for its reporting to the UNFCCC			✓

Source: Romain Weikmans & J. Timmons Roberts (2017)

ODA: Official Development Assistance  
OOF: Other Official Flows

# Potential issues

## Definitions

- "new and additional" vs ODA
- Differentiation between instruments
  - E.g. value of grant vs loans
- Other Official Flows (mobilized)

## Methods

- Committed vs disbursed
- Weights applied to Rio Markers



# How (some) developing countries report financial support received

Table 2. Reporting approaches used by some non-Annex I parties for financial support received.

	Reported in tabular format			Allocation channels							Sectors			Financial instruments					Other					
	Per project or activity	Per donor	Per thematic area <sup>a</sup>	Only headline figures	Top donors	Bilateral	Multilateral	Multilateral financial institutions	Multilateral climate change funds	Specialized United Nations bodies	Private GEF	Private foundations	Private sector	Thematic <sup>a</sup>	Economic <sup>b</sup>	Grant	Concessional loan	National Loan budget	Result-based payment	Leasing	ODA/non-ODA	Status of finance <sup>c</sup>	Domestic finance flows	Co-financing
Argentina	✓	✓			✓						✓													✓
Armenia	✓					✓		✓	✓															
Brazil	✓	✓				✓	✓			✓														
Chile	✓					✓	✓	✓					✓	✓								✓		
Colombia	✓					✓	✓	✓	✓				✓	✓										
Ghana	✓					✓	✓			✓	✓	✓	✓	✓	✓		✓	✓	✓			✓	✓	✓
Indonesia	✓	✓				✓	✓		✓						✓		✓					✓	✓	
Lebanon	✓	✓			✓	✓	✓	✓																
Malaysia	✓					✓	✓	✓	✓	✓														
Mauritania	✓					✓	✓		✓				✓		✓					✓				
Mexico	✓			✓									✓	✓	✓		✓	✓						
Montenegro	✓	✓			✓				✓	✓					✓		✓							
Morocco	✓					✓	✓	✓	✓				✓			✓							✓	
Paraguay	✓	✓				✓	✓		✓	✓														
Peru	✓					✓	✓	✓	✓				✓		✓		✓				✓			✓
Moldova (R. of)	✓					✓	✓	✓	✓				✓	✓	✓			✓						
South Africa	✓					✓	✓	✓	✓				✓		✓			✓			✓		✓	✓
Thailand	✓					✓			✓	✓			✓											
Tunisia	✓					✓			✓	✓			✓											
Viet Nam	✓		✓										✓										✓	

# Potential issues

- Lack of data - reporting not mandatory and streamlined
  - Guidance
  - Methods
- Not possible to cross examine support provided vs received
  - including private finance mobilized vs received





# An accounting perspective on support received



## Financial

- Funds received in country accounts / transferred?
- Depends on country's own definition (e.g. finance mobilized through private finance)
- Includes activities related to
  - Technology development and transfer
  - Capacity building
  - Transparency? (avoid double counting)

## Technology development and transfer

- Including support not received in country accounts / transferred

## Capacity-building

- Including support not received in country accounts / transferred

## Transparency (Article 13)

- *Both in and out of country accounts / transferred* (avoid double counting)



Financial support for CC?

Depending on the  
provider /recipient  
perspective

# Financial support needed - tentative

In theory: Total climate related investments needed (public and private, national and international), and subtract available/expected national (public and private) contributions

In practice: Quite complex and requires a range of considerations, e.g. on financial instruments and their value.

What counts as financial support?

There might be overlaps, focus should be on clear definitions and descriptions

Differentiation between finance and support needed

- Full size of investment VS
- Financial support addressing investment barriers, technology and capacity gaps VS
- Only concessional aspects (grant equivalent)

# Take home points



## **Lack of common definitions**

Finance generally includes national, international, public and private. Support is more complicated.

- Define how you classify climate finance and financial support
- Describe methods used

(More on potential approaches and methods in other presentations)



**CBIT-GSP**  
CLIMATE TRANSPARENCY



**United Nations**  
Climate Change

**Thank you for your attention!**



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