The ETF Reporting Tools

(test version)

Tracking Progress of the Mitigation
Commitments of Nationally Determined
Contributions (NDCs)

Training Workshop for Asia Region Seoul, Republic of Korea – 11-13 Sep 2023

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United Nations
Climate Change Secretariat





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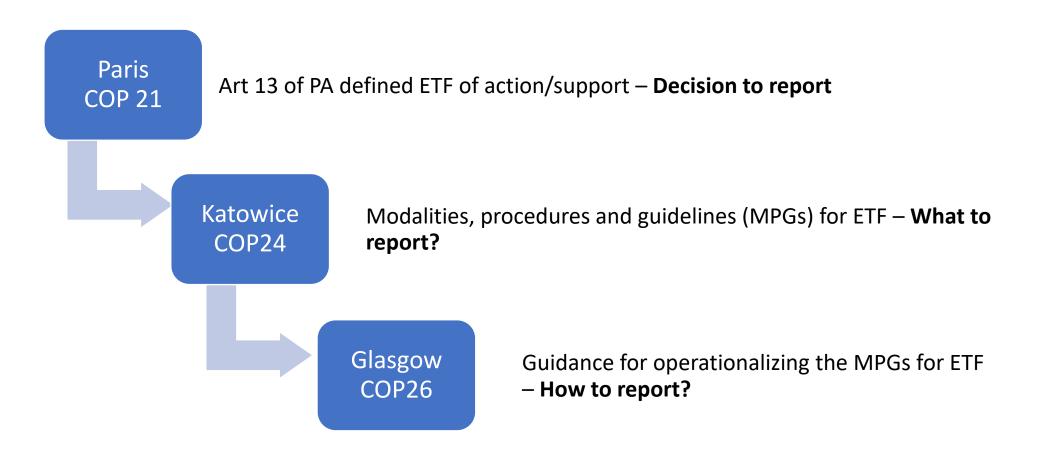
Background and Timeline of the ETF Reporting Tools

Scope of the Test Version

Using the ETF Progress Reporting Tool

ETF from Paris to Glasgow







Key outcomes on ETF at COP 26 (Glasgow) in 2021

Finalized discussions on the MPGs for ETF (decision 5/CMA.3) and adoption of:

- Common reporting tables (CRT) for reporting the GHG inventories
- Common tabular formats (CTF1) for tracking progress in implementing NDCs
- Common tabular formats (CTF2) for FTC support provided and mobilized
- Outlines of the Biennial Transparency Report (BTR) & national inventory document (NID)
- Training programme for technical experts participating in reviews

First BTRs are due by 31 December 2024



Background



Requested SBSTA to develop, pursuant to the MPGs:

- common reporting tables (CRT) for the electronic reporting of info on GHG emissions
- common tabular formats (CTFs) for the electronic reporting of info on tracking progress in achieving NDCs and of info on financial, technology development/transfer and capacity-building (FTC) support

Decision 18/CMA.1

Adopted:

- **CRT** for the electronic reporting of the info in the national inventory reports of **GHG emissions**
- CTF for the electronic reporting of the info on tracking progress in achieving NDCs
- CTF for the electronic reporting of the info on FTC support

Decision 5/CMA.3

Requested the secretariat to:

- Develop the reporting tools, taking into account the flexibility provisions
- Make available a test version by June 2023 and a final version of the tools by June 2024 (timely availability of sufficient financial resources)
- **Inform Parties** on the progress at SBSTA sessions
- Organize regular technical training workshops
- Prepare a report on how the inputs of Parties on the test version have been considered
- Facilitate interoperability with the IPCC inventory software and invite IPCC to engage in the work incl. by completing a mapping exercise between 2006 IPCC GLs and CRT
- Establish an interactive web portal by Dec. 2025 to facilitate the availability of FTC support info

Decision 5/CMA.3



Key outcomes on ETF at COP 26 (Glasgow) in 2021

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- Reporting tools
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CRT for Reporting GHG Inventories



TABLE 2(I) SECTORAL REPORT FOR INDU	STRIAL I	PROCESSE	S AND PR	ODUCT USE									Year						
(Sheet 1 of 1)													Submission						
Back to Index													Country						
GREENHOUSE GAS SOURCE AND SINK CATEGORIE	CO ₂	CH ₄	N ₂ O		PFCs	Unspecifie d mix of HFCs and PFCs (1) ent (kt) (3)	SF ₆	NF ₃	NO,	со	NMVOC	SO _x	Total GHG emissions (2) CO ₂ equivalents (kt) (5)						
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2.A.1. Cement production	ABLE 3.A SECTORAL BACKGROUND DATA FOR AGRICULTURE nteric Fermentation Year Submission																		
2.A.2. Lime production	(Sheet 1 of 1)												Country						
2.A.3. Glass production						Back to Index GREENHOUSE G	Back to Index GREENHOUSE GAS SOURCE AND SINK												
2.A.4. Other process uses of carbonates										ACT	des aproprietations against	and the second second	LATED INFORMATION		IMPLIED EMISSION EMISSIONS FACTORS EMISSIONS				
2.B. Chemical industry									Population size		Average gross energy intake (GE)		Average CH ₄ conversion rate (Y _m) (2)		CH ₄	CH ₄			
2.B.1. Ammonia production									(1	000s)	(MJ/hea	id/day)	(%)		(kg CH ₄ /head/yr)	(kt)			
2.B.2. Nitrie acid production						3.A.1. Cattle													
2.B.3. Adipie acid production						Option A:													
2.B.4. Caprolactam, glyoxal and glyoxylic acid product	ion						a. Dairy cattle b. Non-dairy c			-			<u> </u>						
2.B.5. Carbide production						TO THE PERSON NAMED IN	(country-spec	100 NOTE:					*			×			
2.B.6. Titanium dioxide production							a. Other	yic).	2.							7			
2.B.7. Soda ash production						7.00	rop-down list:						-						
2.B.8. Petrochemical and carbon black production			Ĭ				A.l.a.i. Matur	e dairy cattle					-			-			
2.B.9. Fluorochemical production						2000	ALEXANDER CONTRACT CO.	mature cattle		-			97			*			
2.B.10. Other						3.	A.1.a.iii. Grow	ing cattle					8			- 5			
2.C. Metal industry						3.	A.1.a.iv. Othe	r (please specif	y)										
2.C.1. Iron and steel production																			
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						▶ Ta	ble1.B.1	Table 1.B.2	Table	1.C Ta	able1.D Ta	ble2(I)	Table2(I).A-H Tab	le2(II)	Table2(II).B-Hs1 T	able2(II).B-Hs2 Tab			

CTF for Tracking Progress in Implementing NDCs



							m adaptation acti	ions and economic d	liversification	plans, re	lated to	8. Information on proj	jections of greenhouse ga	s emissions and removals under a 'wit	h additional mea	sures' scenario	0 ^{a, b}
implementing a	and achieving a	nationally determ	ined contribution	under Article 4	of the Paris	Agreement ^{a, b}			Estimates	of GHG as	wission	-		Most recent year in the Party's national inventory report (kt CO ₂ eq) ^c	Projections of C	GHG emissions at (kt CO2 eq) ^c	nd removal.
			Type of		Sector(s)	Gases	Start year of	Implementing		is (kt CO ₂				20XX	20X(0)(5)	20X(0)(5)	20X(0)(5)
Name ^c	Description ^d	e, f Objectives	Type of instrument ^g	Statush .	affected ⁱ	affected	implementation	entity or entities	Achieved	E	xpected	Sector ^d					
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												 Industrial processes and 	product use				
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			the Paris Agreemer	nt that consists o	f mitigation c	o-benefits resultir	ng from Parties' ad	laptation actions and	or economic di	versificat	tion plans	Waste					
						includes relevant	information on po	olicies and measures	contributing to	mitigatio	n co-	Other (specify)					
		n actions or econor r a measure is inclu-										Gas					
						tigation benefits.	and how the mitig	ation action interacts	with other miti	gation ac	tions as	CO2 emissions includin	g net CO2 from LULUCF				
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Parties shall.	, to the extent po	ssible provide info	rmation on sector(s	affected: ener	v transport i	industrial process	es and product use	agriculture LIII II	F waste mans	gement c	or other	HECo	_				
(paras. 81 and 8	2(f) of the MP	4. Structured summ	nary: Tracking pro	gress made in in	nplementing a	nd achieving the	NDC under Article	e 4 of the Paris Agree	ement ^a								
J Each Party s country Parties t							Implementation	period of the NDC cover	ring		Prograce n	nade towards the NDC, as					
k To the exten						Reference point(s),		previous reporting years				d by comparing the most					
This information					1	level(s), baseline(s),		he most recent year, incli	ıding			rmation for each selected					
	, ,					base year(s) or starting point(s),		vear or end of period 177(a)(ii–iii) of the MPG	is)			including for the end year period, with the reference					
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Documentation.		Indicator(s) selected to NDC under Article 4 oj			0									ged to report such projections (para. 92 of exibility in the light of their capacities wi		93_101 of the N	MPGs can
		of the MPGs):	ine I as is 12g, content	paras. or ana //(a	2								detailed methodology of	or coverage (para. 102 of the MPGs).			
	1	{Indicator}			St. 51		* **	* * *			d			rear in the Party's national report and exter Parties that need flexibility in the light of			
	1	(Parties can add row	s for each additional in	ndicator and			* * *				ţ.	25		eir projections at least to the end point of			
			on for each indicator,		•								e MPGs).				
			on of NDC, target valu	ies, mitigation									ra. 82(f) of the MPGs.				
	l -	effects of policies an			n 27						ş.						
		Where applicable, total with the coverage of th															
		Contribution from the I			t												
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		of total net GHG emiss 77(c) of the MPGs)	ions and removals, as a	applicable (para.													
	I -	A STATE OF THE STA	7.3														
		Each Party that particip involve the use of ITM															
		Paris Agreement, or au															
		international mitigation	purposes other than a	chievement of the	100												
	[]	NDC, shall provide (pa	ra. 77(d) of the MPGs)):													
			cative multi-year emis t for its NDC impleme		ı.												

CTF for FTC Support Provided and Mobilized



Notation keys: NA = not applicable; UA = information not available at the time of reporting. NR = not reported (to indicate the voluntary character of the

(specify)

Table III.2 Information on finar Exchange rate used:	ncial supp —	ort pro	ovided und	ler Art	ticle 9 of t	he Pai	ris Agreem	ent in	year 2X	XX-3:a, b, 0	multilat	eral (channels								
						Amor	unt ^{c, d}														
				Inflo	ws ^{c, e}					Outflow	νς ^{c, ε}		_	Title of the							
		Core/ger	neral ^{c, e, f}			Climate	e-specific ^e			Climate-sp	ecific ^e		_	project,							
	Face vo	ılue	Grant equ	ivalent	Face va		Grant equ	ivalent	Face	e value	Grant equivale		_	program me, activity							
<i>Institution</i> ^c	Domestic currency	USD	Domestic currency	USD	Domestic currency	USD	Domestic currency	USD	Domest current	ic y USD	Domestic currency	USD	Recipient,	or other ^c . s, g, h	Status ^c	(Channel ^c				
Adaptation Fund African Development Bank Asian Development Bank													Country Region ^j		Disbursed Committee		lultilatera Multi-	1			
Capacity-building Initiative for Transparency Climate Technology Centre and Network European Bank for Reconstruction and								Exc	ble III.7 formatio change rate Title of activity,		cial supp	ort re	eceived by		Amount receiv	ed	arties	under Artic	le 9 of the 1	Paris Agr	eement ^a
Development Global Environment Facility								pr F	rogramme, project or other ^{c, d}	Programme/ project description ^e	Channel [±]	Recip enti			Domestic currency	USD	Time frame =	Financial instrument	Status ^e	Type of support	Sector ^e
Green Climate Fund Inter-American Development Bank International Finance Corporation Least Developed Country Fund											Multilate ral Bilateral Regional Other (specify) d							Grant Concession al loan Non- concessional loan Equity Guarantee	Committed Received	Adaptation Mitigation Cross- cutting ^e	

Implementation Approach - IT Project





Assumptions



- Parties **submit the mandatory information and data in the formats agreed** comprehensive analysis, comparison, and assessment of progress **feed info into the GST and NDC cycles**
- Complex IT project covering the development of **3 new reporting tools** using a common framework:
 - **ETF GHG Inventory Reporting Tool** = CRT (Common Reporting Tables for GHG emissions)
 - ETF Progress Reporting Tool = CTF NDC (Common Tabular Format for tracking progress in achieving NDC)
 - **ETF Support Reporting Tool** = CTF FTC (Common Tabular Format on finance, tech. and CB support)
- UNFCCC institutional knowledge and experience (CRF Reporter, BR CTF, etc.) & Parties/experts views
- New tools require additional skills, expertise and coordination, apart from solving countless technical aspects
- Complexity:
 - All Parties, incl. ~150 developing countries; 3,000+ users
 - Online/offline; flexibility provisions
 - Interoperability with IPCC software
 - Timing & Funding

Complexity



Elevated level of complexity - dependencies among the modular building blocks

IT architecture - interconnected and inter-dependent technical engines:

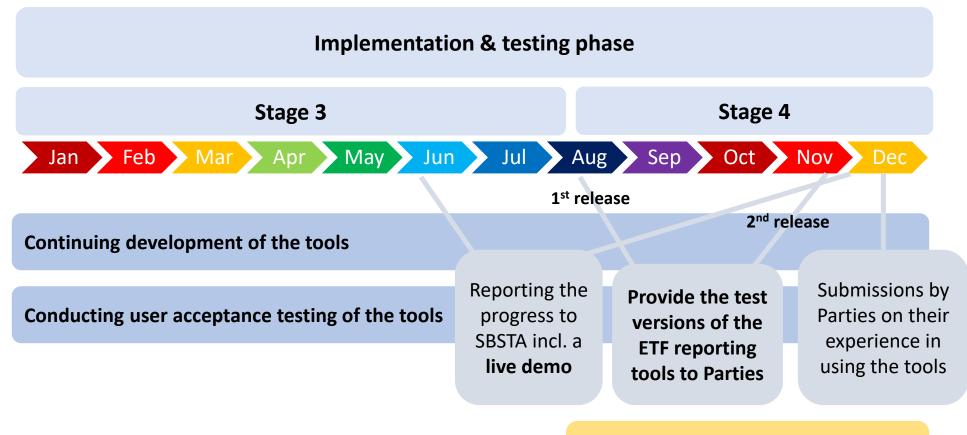
- Rendering engine: render and input data, providing an accessible, user friendly, streamlined interface
- Validation engine: validation services (defined by metadata) in browser and server-side
- <u>Calculation engine</u>: data calculation services (defined by metadata) in browser and server-side
- Metadata engine: dynamically structure and validate data following a metadata schema (CMA decisions)
- <u>Import/export engine</u>: import/export data drafts across client and server, including a compatibility layer with the IPCC Inventory software
- Reporting engine: generate the agreed reporting tables in Excel based on the data entered
- **Storage engine**: offline structured storage for applications using browser Web Storage facilities

User management and authentication reuse standard UNFCCC services

Timeline – Current Implementation phase



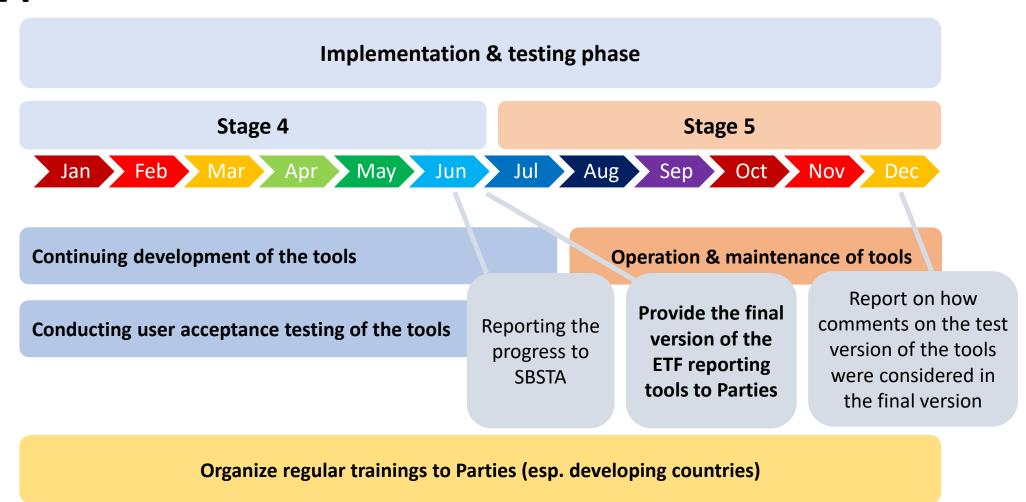
2023



Organize regular trainings to Parties (esp. developing countries)



2024



Scope of the Test version of the new ETF reporting tools





Scope for the test version



GHG Inventory:

- Energy sector all tables (12)
- Waste sector all tables (5)
- Interoperability with IPCC Inventory Software

ETF Progress:

- Mitigation policies and measures, actions and plans Table 5
- Information on projections of greenhouse gas emissions and removals:
 - Table 7 (with measures)
 - Table 8 (with additional measures)
 - Table 9 (without measures)
- Key underlying assumptions and parameters used for projections Table 11

ETF Support:

- Financial support mobilized through public interventions Table III.3
- Support needed: Financial Table III.6
- Support received: Financial Table III.7

Looking ahead



- Continuing development of the back-end solution and UI/UX design
- Conducting in person hands-on trainings and virtual Q&A sessions
- Continuing the work with IPCC for the interoperability with IPCC software
- Mid-November second release of the test version of the ETF reporting tools
- Provision of user support incl. quick start guide (guidance to users) and email for raising technical issues
- Parties' views and feedback to be submitted officially via the submission portal
- Initiating the preparatory work on the new ETF review tools and Data Warehouse Project

ETF PROGRESS Reporting Tool

Live Demonstration



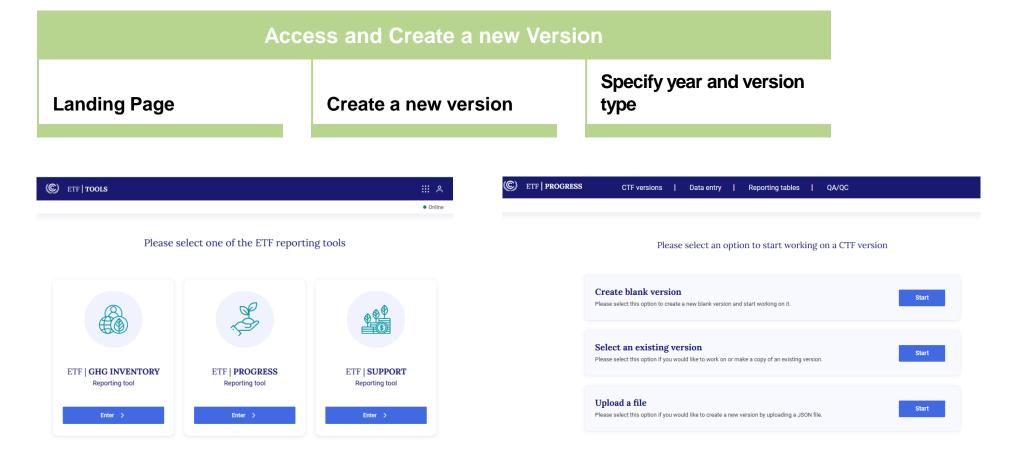


- The test version release is for testing/training only
- Please **DO NOT** initiate work on **an official submission** with the current test version of the ETF Reporting tool as there could be data losses due to multiple releases and version deployments



Homepage - https://apps.unfccc.int/home

Use any internet browser (e.g., Firefox, Edge, Chrome, or Safari) on your PC.



ETF | PROGRESS

Reporting Tool



Specify version settings, applicable flexibility provisions

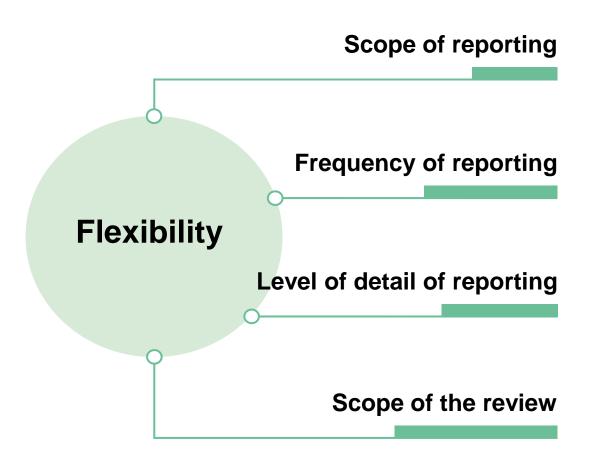
Specify YES/No to Flexibility

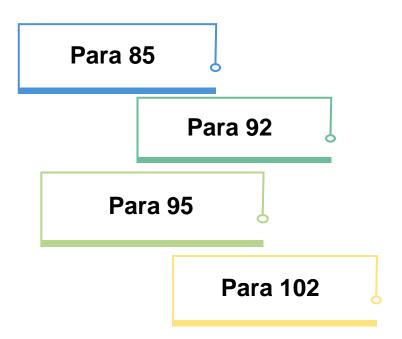
Application of flexibility options as applicable

Specify projection settings









ETF | PROGRESS

Reporting Tool

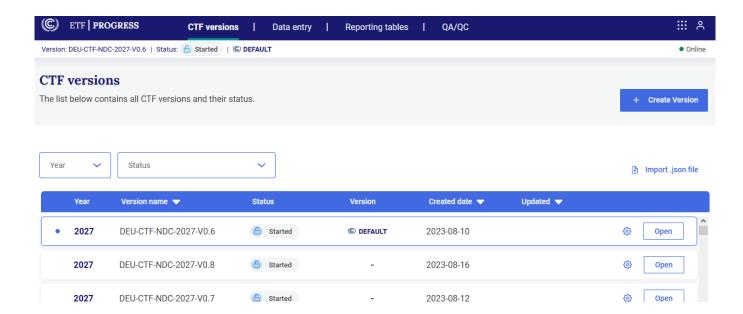


View versions, view and move through navigation tree

Access CTF Versions

Navigation Tree

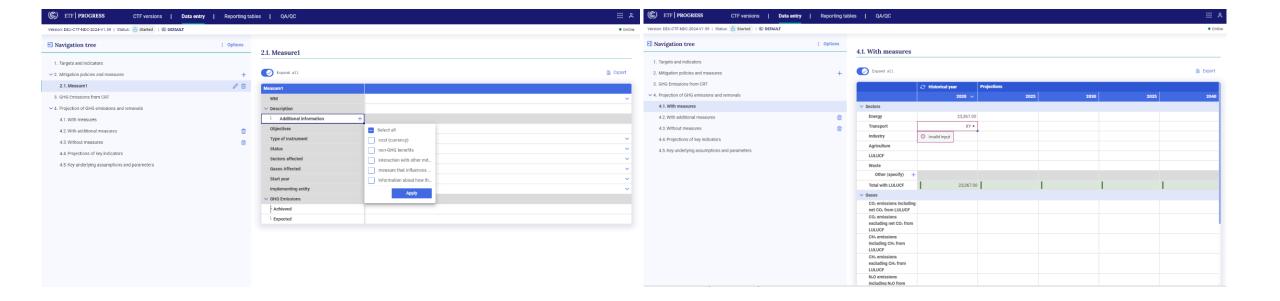
Data Entry



ETF | PROGRESS Reporting Tool







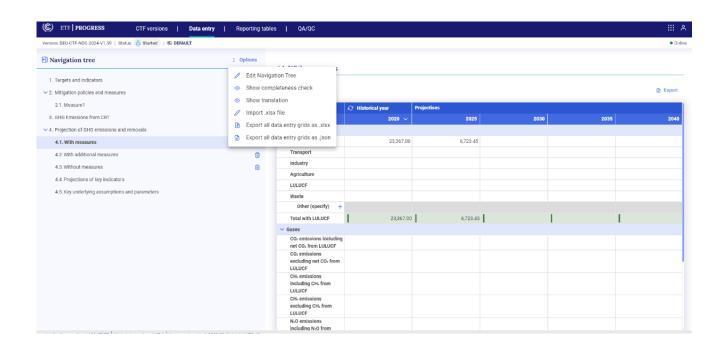


Export/import of data entry grids to Excel

Modify data

Export

Import data into version





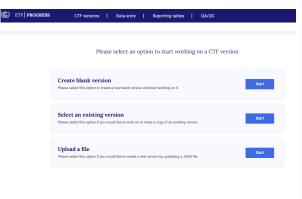
Export/import data entry grids as a <u>JSON file</u>, add/edit data there and import back into the application.

Modify data

Export

Import data into version

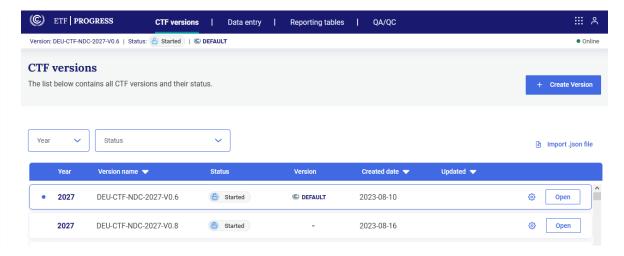
1. From initial version creation



Please upload .json file and select submission year to create an inventory version

	₽
	Drag and drop or <u>Select</u> a .json file
2. Select the su	ıbmission year
	will be reflected in the inventory name. You may edit the submission year later.
	will be reflected in the inventory name. Too may ear the submission year later.
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Year	V
Year	v
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3. Set as defaul	It version ion to make this the default working version for all users within your Party. You

2. From the CTF versions tab

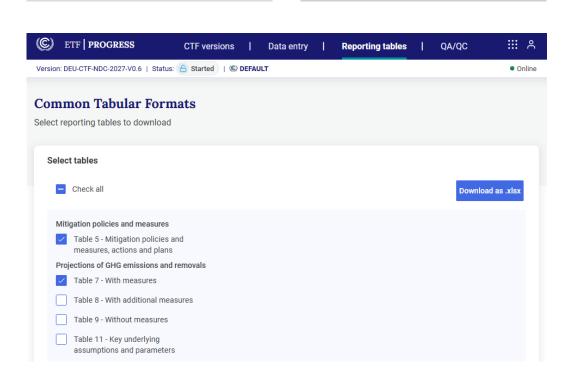




Generate/download static reporting tables

Select Reporting Tables

Download as .xlsx



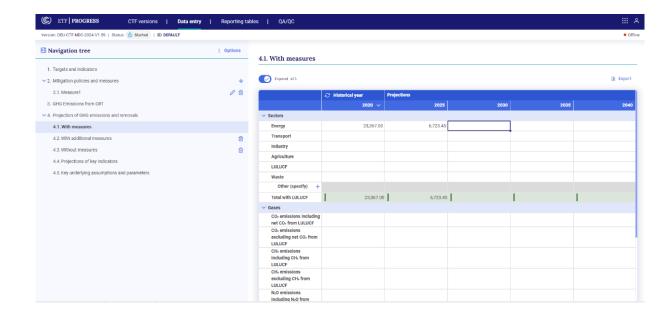


Work offline

In Data Entry

Disconnected from internet (Offline button)

Reconnected





Find out more at:

https://unfccc.int/Transparency

Join our LinkedIn group at:

https://www.linkedin.com/groups/ 13910606/





Some hands-on experience/training





ETF | PROGRESS

Reporting Tool



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Ex	rc	10	$\mathbf{\Omega}$	7
-		1.3	┖-	

Create a new CTF version Submiss

Submission Year

Using Flexibility

Exercise 2

Create a new CTF version

Submission Year

Not using Flexibility

Projection Settings

Specify Interim Years



Exercise 3 - Navigation Tree and Data Entry grids

Mitigation Policies and Measures

- Create a record
- Enter data
- Export the grid
- Edit
- Import back to the grid



Exercise 4 - Navigation Tree and Data Entry grids

Table 7, 8, 9 – With measures, With additional measures and Without measures

- Enter data
- Check the totals
- Export data
- Modify data
- Import back to the grid
- Add/delete a sector



Exercise 5 - Navigation Tree and Data Entry grids

Table 11– Key underlying assumptions and parameters

- Specify key underlying assumptions/parameters
- Select from the drop-down list
- Specify the units used



Exercise 6 - Access CTF Versions Page

On the CTF Versions Tab

- Check the year you are working on
- Search for the CTF Version you are working on, click Open
- To work on the Data Entry, click the Data Entry tab
- Select the grid to work on from the Navigation Tree



Exercise 7 - Access the Reporting Tables

On the Reporting Tables Tab

- Click on the table that you have worked on to download
- Click the button 'Download as .xlsx'
- The table will be downloaded in excel format in your download folder
- Please note, the output of these tables are still in development



Exercise 8 - Work offline

On Data Entry Tab

- Enter data
- Disconnect from the internet- Notice the online button turn red (offline)
- Reconnect back
- Export data to work offline and import back
- Check seamless experience in both online and offline mode

Thank you!



