

Overview of the enhanced transparency framework under the Paris Agreement

“Regional workshop on the enhanced transparency
framework under the Paris Agreement and its
modalities, procedures and guidelines”

23-25 August 2023, Suva, Fiji



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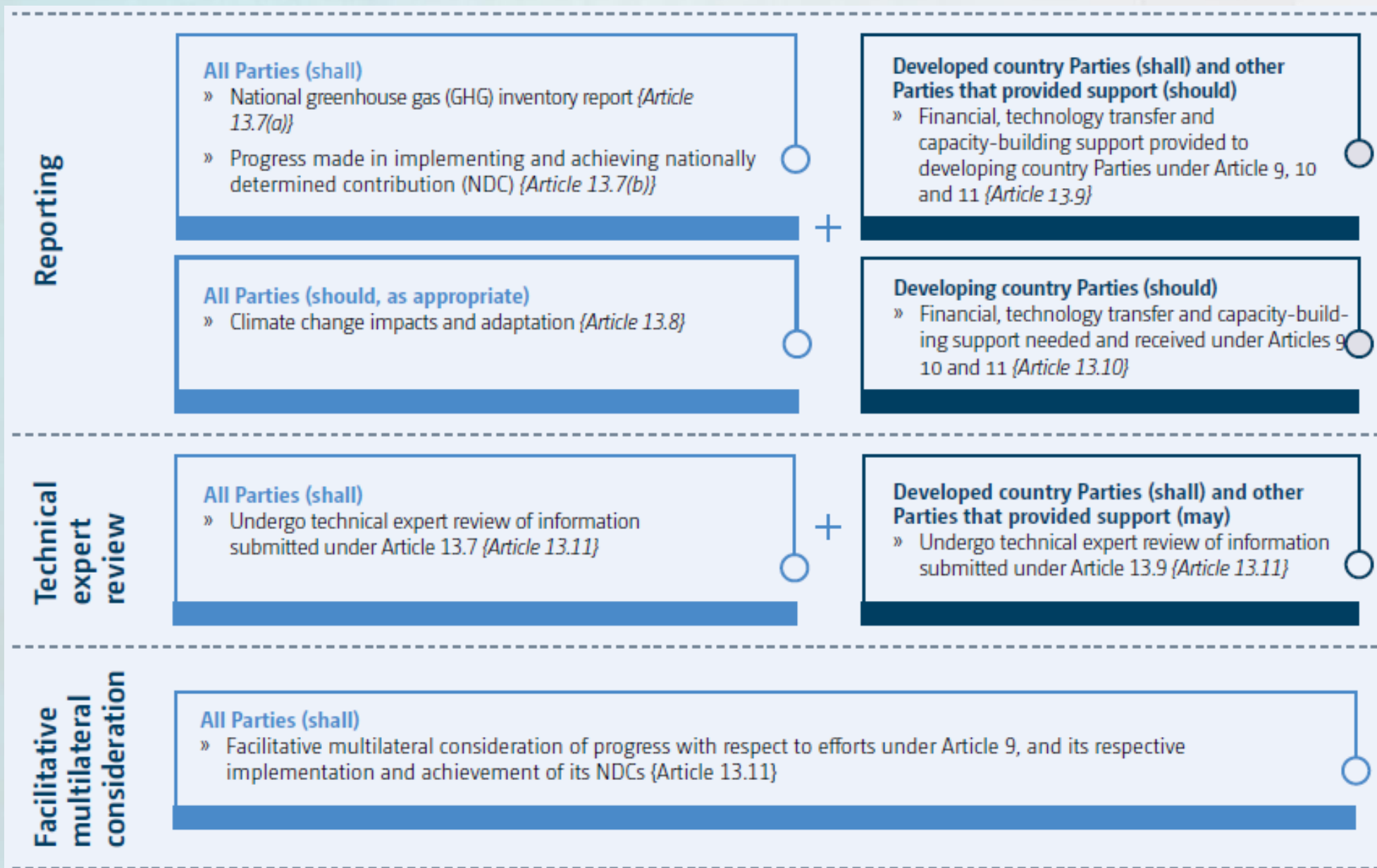
TRANSPARENCY FOR ACTION

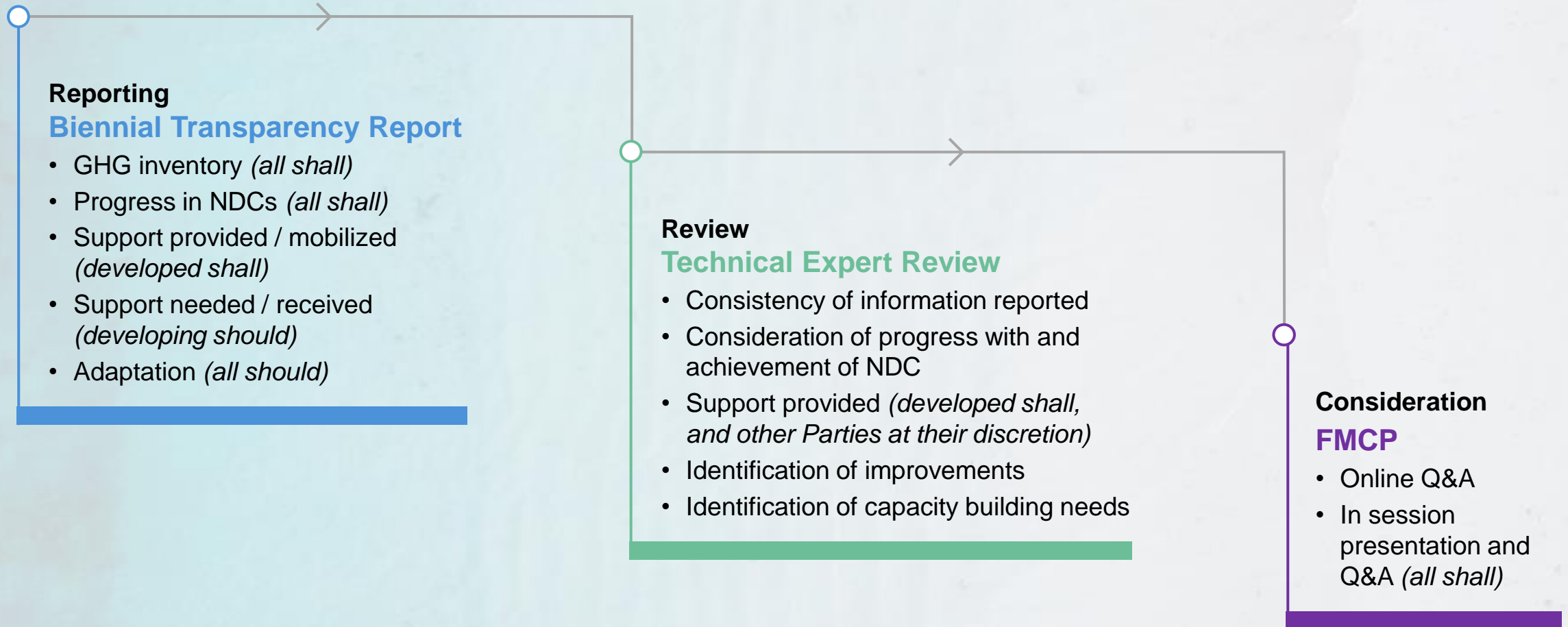
Provide a clear understanding of **climate change action** in the light of the objective of the Convention, including clarity and tracking of progress towards achieving NDCs and adaptation actions, including good practices, priorities, needs and gaps, to inform the GST

Enhanced
Transparency
Framework (ETF)
for **action** and
support

TRANSPARENCY FOR SUPPORT

Provide clarity on **support provided and received** by relevant individual Parties in the context of climate change actions and, to the extent possible, to provide a full overview of aggregate financial support provided, to inform the GST





Plan

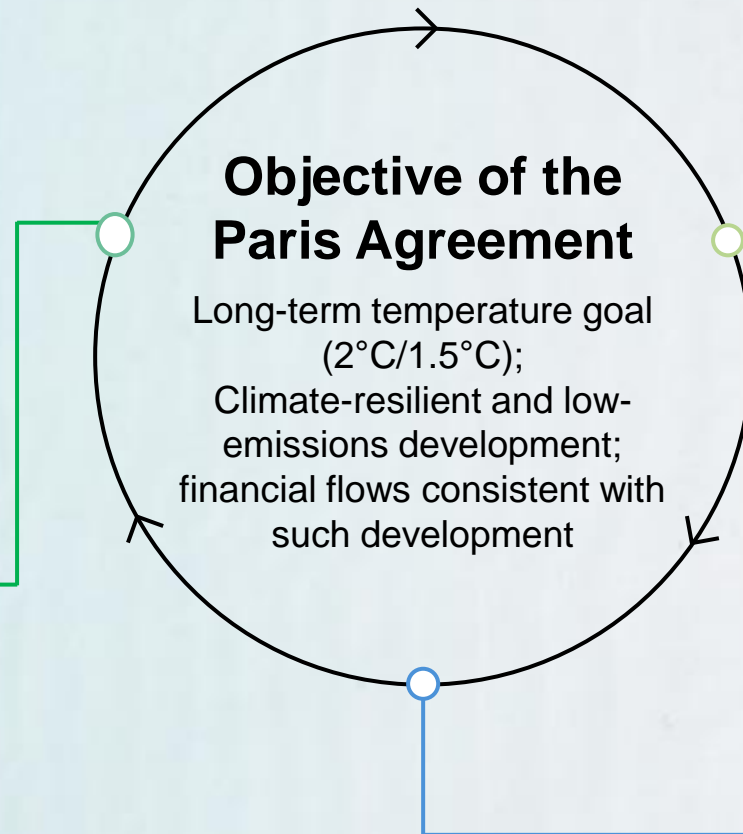
- NDCs under Article 4 of the Paris Agreement
- Adaptation communications
- Ex-ante communication on support

Implement

- Mitigation and adaptation actions
- Means of implementation

Monitor and Review

- Enhanced transparency framework (account, report, review)
- Compliance
- Global stocktake



**Builds on MRV*
and enhances it**

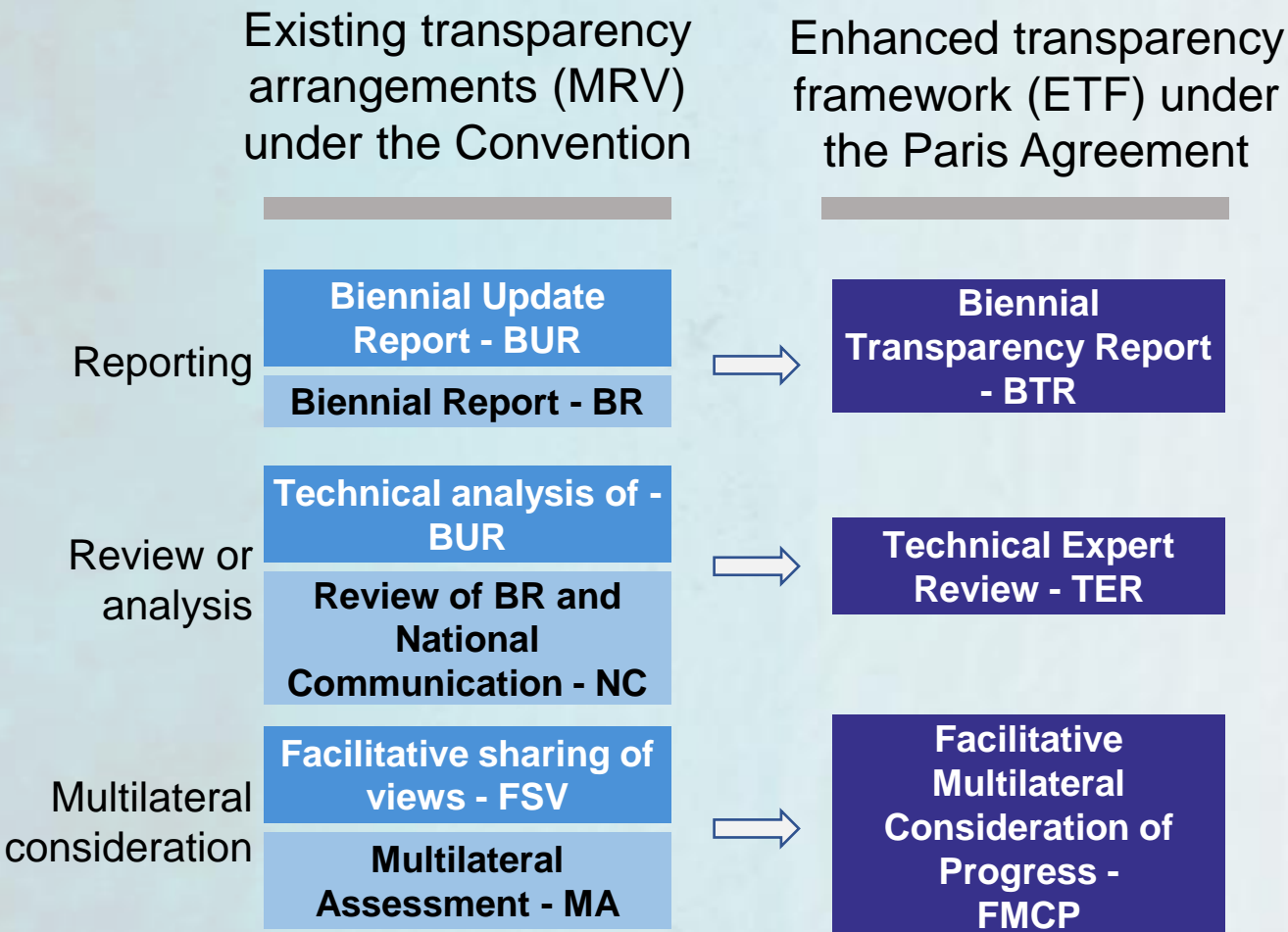
**Different
starting points**

Flexibility

**Continuous
improvement**

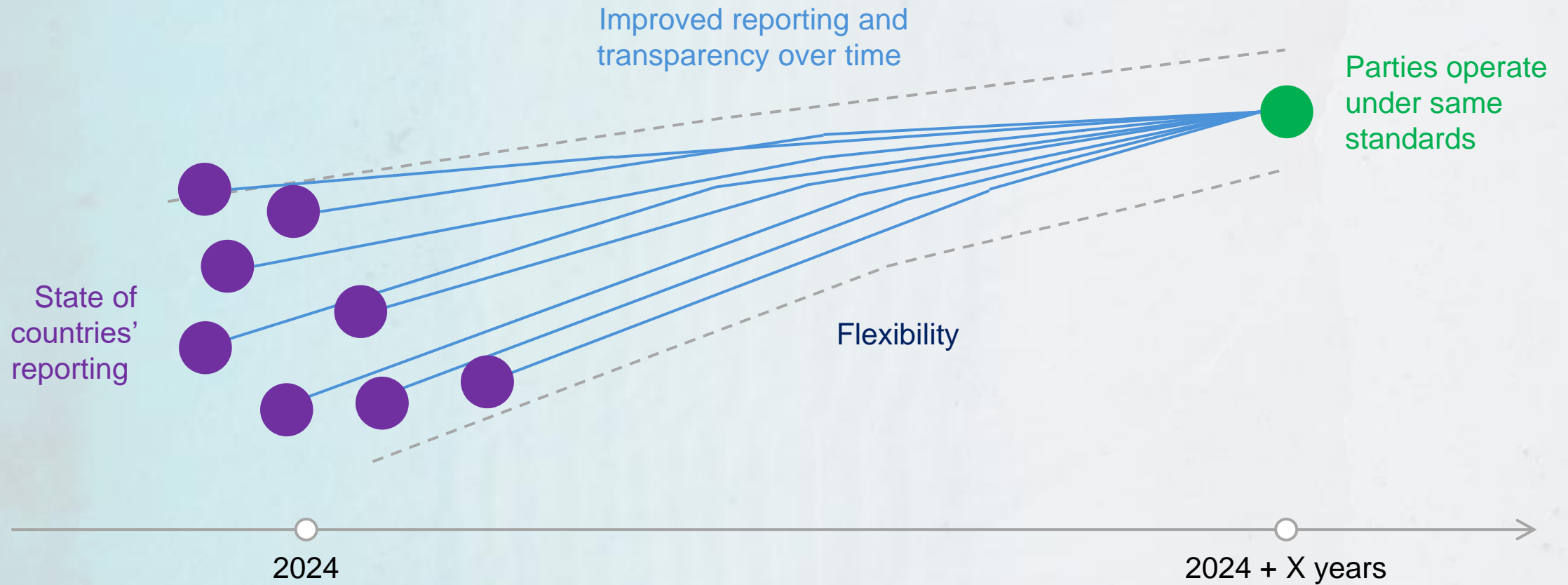
Support

**MRV: measurement, reporting and verification under the Convention*

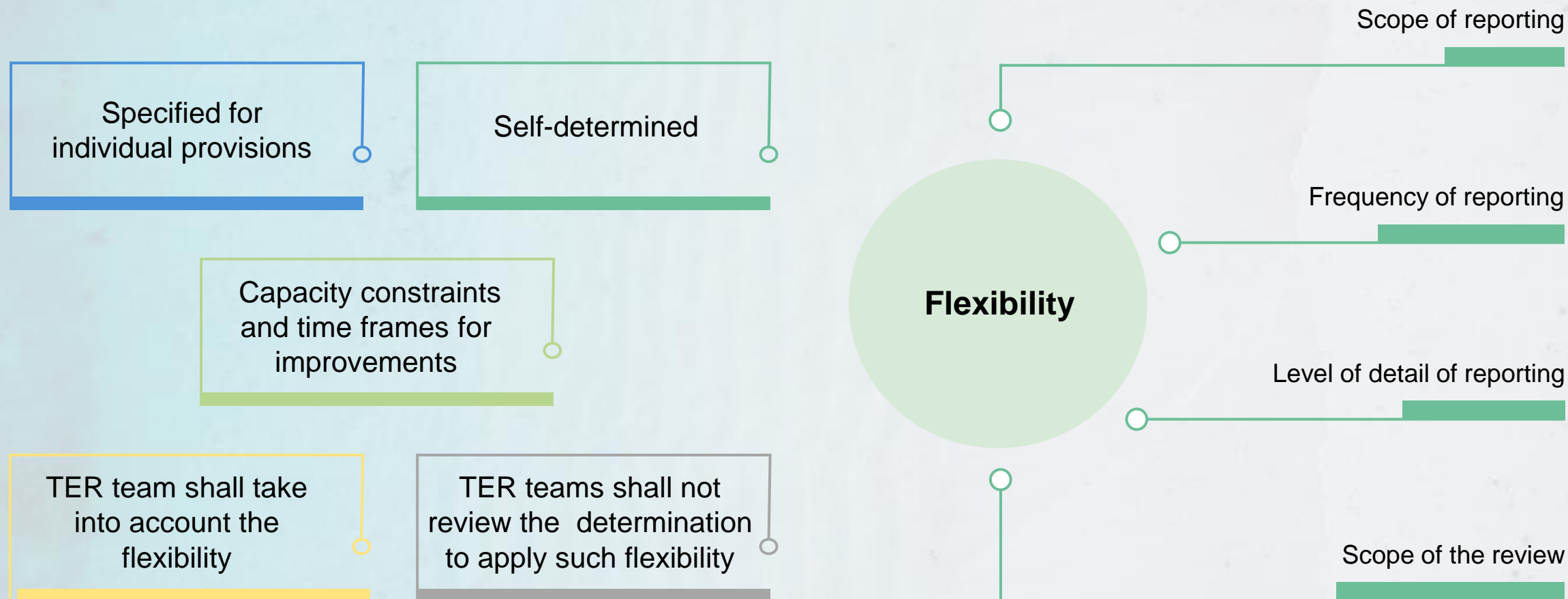


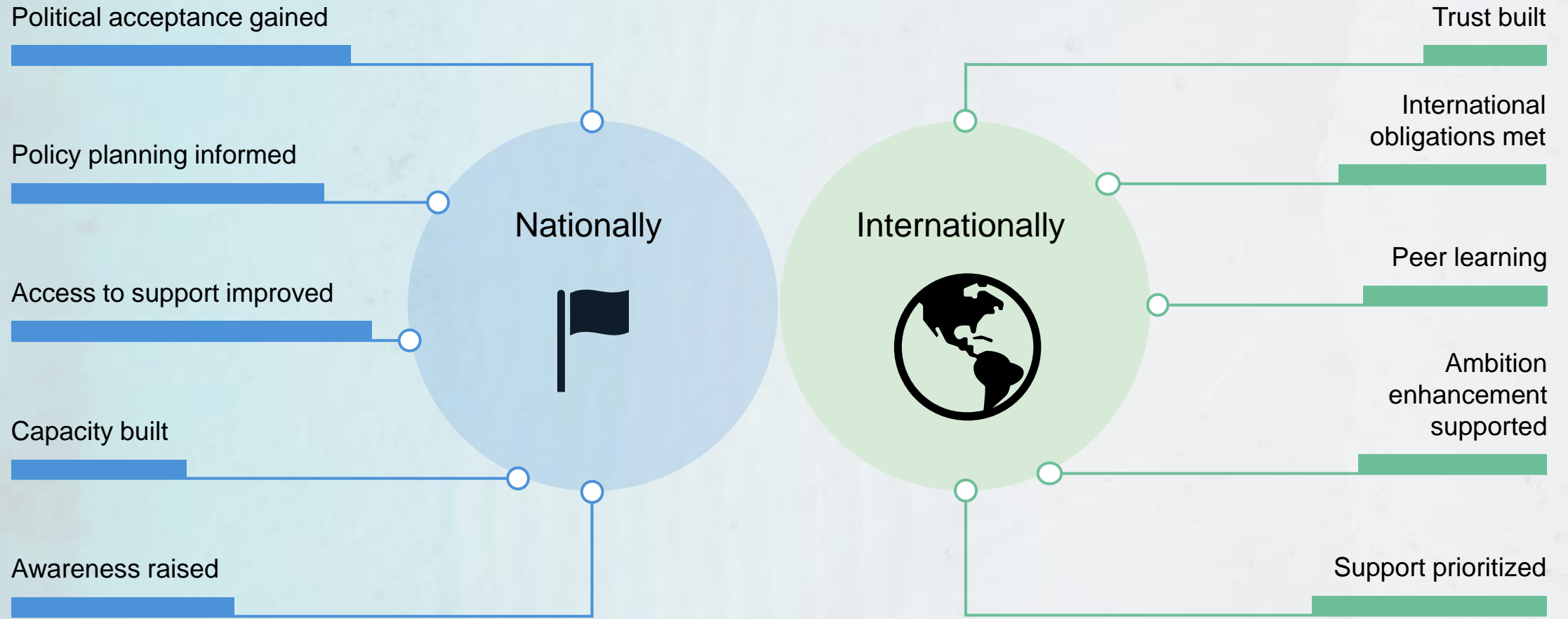
Key areas of enhancement

- Establishes **common modalities, procedures and guidelines (MPGs) applicable to all Parties** with **flexibility** to those developing countries that need it in the light of their capacities
- Parties need to (to the extent possible) identify, regularly update, and report on areas of improvement > **continuous improvement**
- Provisions/requirements - mostly mandatory (“**shall**”)
- NDCs, reporting, TER and FMCP, and their relationship to the Article 15 **Committee** (mechanism to facilitate implementation of and promote compliance)



Flexibility provisions: available to developing country Parties that need it, in the light of their capacities.





Towards implementation of the enhanced transparency framework



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Developed country Parties

- Final biennial reports (BRs) by no later than 31 December 2022
- ETF replaces BRs and IAR

Developing country Parties

- Final biennial update reports by no later than 31 December 2024
- ETF replaces BURs and ICA

All Parties

- First biennial transparency reports (BTRs) by, at the latest, 31 December 2024 (SIDS and LDCs have discretion)
- TER (to be initiated immediately following the submission)
- FMCP (ASAP following the publication of the TER report)

Technical analysis of REDD-plus activities for result-based incentives

Developed country Parties

- Annual GHG inventories
- National communications*

Developing country Parties

- National communications*

Existing MRV arrangements

Enhanced transparency framework

Note: Parties may submit their NC and BTR as a single report
TER: technical expert review; FMCP: Facilitative, multilateral consideration of progress

Developing country Parties

Developed country Parties

All Parties

• Flexibility to those developing country Parties that need it in the light of their capacities

• Facilitating improved reporting and transparency over time

Biennial Update Reports BUR

- National greenhouse gas inventory
- Mitigation actions and their effects
- Finance, technology and capacity-building needs

Cancun Agreements (1/CP.16)
and Durban Outcomes (2/CP.17)

National communications NC

- National greenhouse gas inventory
- Programmes containing measures to mitigate climate change
- Programmes containing measures to facilitate adaptation to climate change
- Transfer of technology
- Research and systematic observation
- Education, training and public awareness
- Capacity-building
- Information and networking
- Constraints and gaps, and related financial, technical and capacity-building needs

Article 4.1 and 12.1 of the Convention;
decision 17/CP.8

Biennial transparency reports BTR

- National greenhouse gas inventory
- Progress made in implementing and achieving NDCs
- Climate change impacts and adaptation (as appropriate)
- Financial, technology transfer and capacity-building support needed and received

Flexibility ↔ *Areas of improvement*

Article 13 of the Paris Agreement;
decisions 18/CMA.1, 5/CMA.3

It is important to note that the legal nature of these reporting themes differ across the reports.

NDCs

- Information
- Accounting
- Tracking

Adaptation reporting

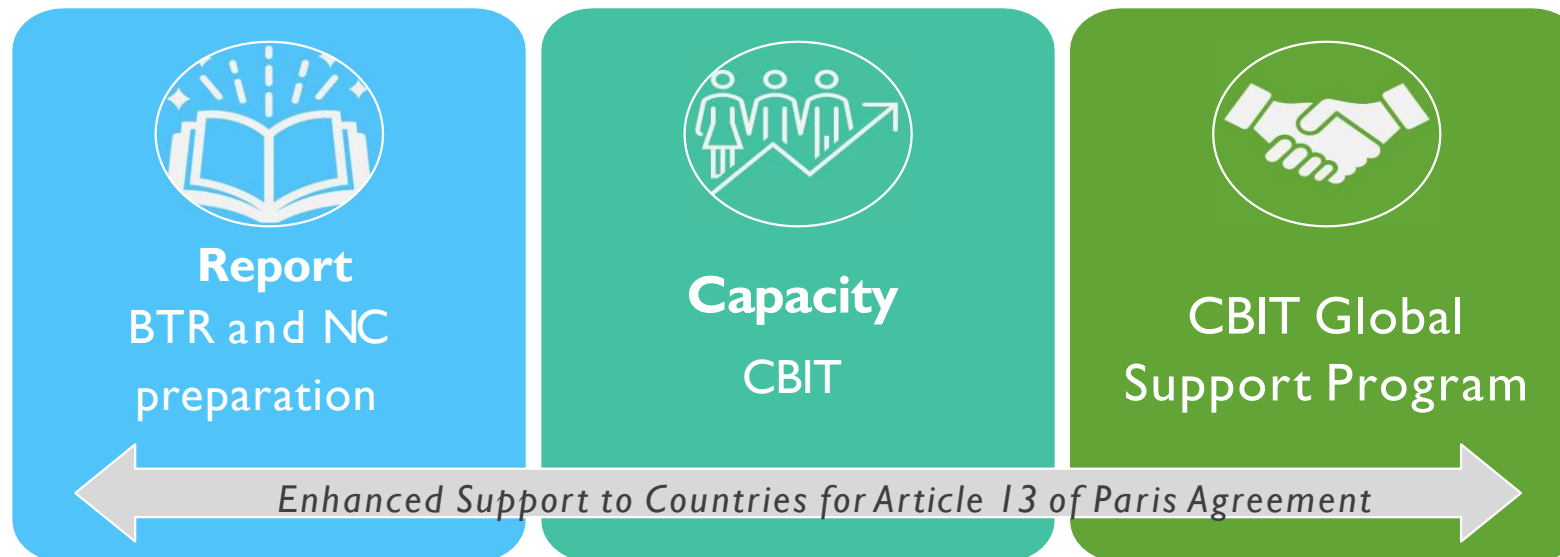
- Cross reference to other arrangements
- Types of information

Global Stocktake

- BTRs, NIRs, adaptation communication, information on support: Input to the GST process

Committee (PAICC)

- If a Party fails to submit a mandatory report or communication of information under ETF
- If the Party does not participate in the FMCP
- With the consent of the Party concerned, engage in a facilitative consideration of issues in cases of significant and persistent inconsistencies in the information submitted by the Party.



Additional Financing (top-up)

Up to \$250,000 from set-aside funding

- Available for countries, as of 1st Jan 2023, that have an ongoing BUR or NC project
- Only intended to be used for first BTR

Stand-alone BTR

Up to \$600,000 from set-aside funding

- Available since Jan 2021
- Country must not have ongoing BUR support
- Ongoing NC is acceptable

Combined BTR/NC

Up to \$633,000 from set-aside funding

- Available since Jan 2021
- Country must not have ongoing NC or BUR support

ETF: Training for BTR Reviewers



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<https://unfccc.int/process-and-meetings/transparency-and-reporting/training-programmes-for-expert-reviewers#PA-review-training-programme>

The Training Programme for reviewers of the BTRs aims to familiarize experts with the essential reporting elements and requirements of the ETF as well as the tools available to help them prepare for the TER.

To participate in a TER process, experts must be nominated to the UNFCCC Roster of Experts (RoE) by their national focal point on behalf of their government, complete the relevant training programme and successfully pass examinations before they can be invited to participate in a review.

All courses in the training programme for BTR reviewers will be online, open and available to all experts to study at their own pace all year round. The material will also have the option to download for those experts who prefer it. Only experts nominated to the UNFCCC RoE will be eligible to take the examination.

Course A: General and cross-cutting aspects for the technical expert review under the ETF

Course B: Greenhouse Gas Inventory

Course C: Tracking Progress in Implementing and Achieving NDCs

Course D: Financial, technology development and transfer and capacity building support

Courses B, C and D will become available in September 2023.

- All necessary operational details are in place now for implementation of the ETF.
- Use existing MRV arrangements as a starting point in transition to the ETF (and preparation of BTRs).
- Assess readiness for ETF implementation and enhance national transparency arrangements (data, processes, procedures, tools, training/CB, institutional arrangements).
- Focus on enhancing the institutional arrangements; in doing so, the requirements stemming from the ETF need to be kept fully in sight – there are a number of success stories to draw inspiration from.
- GEF has already put in place arrangements for the financial resources for BTRs (i.e. BTR, 2BTRs, NC+BTR...)
- ETF goes beyond preparation of reports:
 - ✓ Understanding potential for action, informing policy design
 - ✓ Establishing channels to ensure adequate support
 - ✓ Platform to learn from each other

Design the process to bring together all stakeholders and discuss national benefits with a view to facilitate political buy-in and high-level support to the ETF.

Update on key transparency outcomes from negotiations



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Key transparency outcomes at COP26/CMA.3

Modalities, procedures and guidelines (MPGs) for the ETF

Support to developing country Parties for reporting, related capacity building and implementing the ETF

- ✓ Common reporting tables (CRT) for the electronic reporting of GHG emissions and removals
- ✓ Common tabular formats (CTFs) for the electronic reporting of the information necessary to track progress made in implementing and achieving NDCs
- ✓ Common tabular formats for the electronic reporting of the information on financial, technology development and transfer and capacity-building support provided and mobilized, as well as support needed and received
- ✓ Outlines for the biennial transparency report (BTR), national inventory document (NID) and technical expert review report
- ✓ Training programme for technical experts participating in the technical expert review of BTRs

ADOPTED

Support to developing country Parties for reporting, related capacity building and implementing the ETF

31 OCT 2021
GLASGOW

COP26

IN PARTNERSHIP WITH ITALY

Enhanced support for implementing the ETF, including guidance to GEF and the role of CGE

- Established a **new agenda item** starting from CMA 4, to consider “*provision of financial and technical support to developing country Parties for reporting and related capacity building*”
- GEF to provide input to this discussion
- Recognized the need for enhanced support from various sources and channels (incl. the GEF) for implementing the ETF

Comprehensive guidance to the GEF:

- Consider increasing its support to ETF under GEF-8
- Consider combining the application process for BTRs and CBIT projects
- Ensure that financing is delivered in a timely manner
- Consider raising the funding ceiling for expedited enabling activities

Revised the terms of reference of the CGE:

- Amended the CGE membership by adding 3 additional seats (*NAI Parties from the EE region, LDCs and SIDS*)
- CGE to provide technical advice to training programme for technical experts participating in TER of BTRs

Main transparency outcomes at COP27/CMA.4

Training programmes under the Convention for the technical reviews of GHG inventories and NC/BR from Annex I Parties

Provision of financial and technical support for reporting under Convention

Reviews on a voluntary basis of the information reported pursuant to decision 18/CMA.1, annex, chapter IV, and respective training courses needed

NEW AGENDA ITEM: Provision of financial and technical support to developing country Parties for reporting and capacity-building, under the Paris Agreement

Main transparency outcomes at SB58

Information contained in NCs from NAI Parties

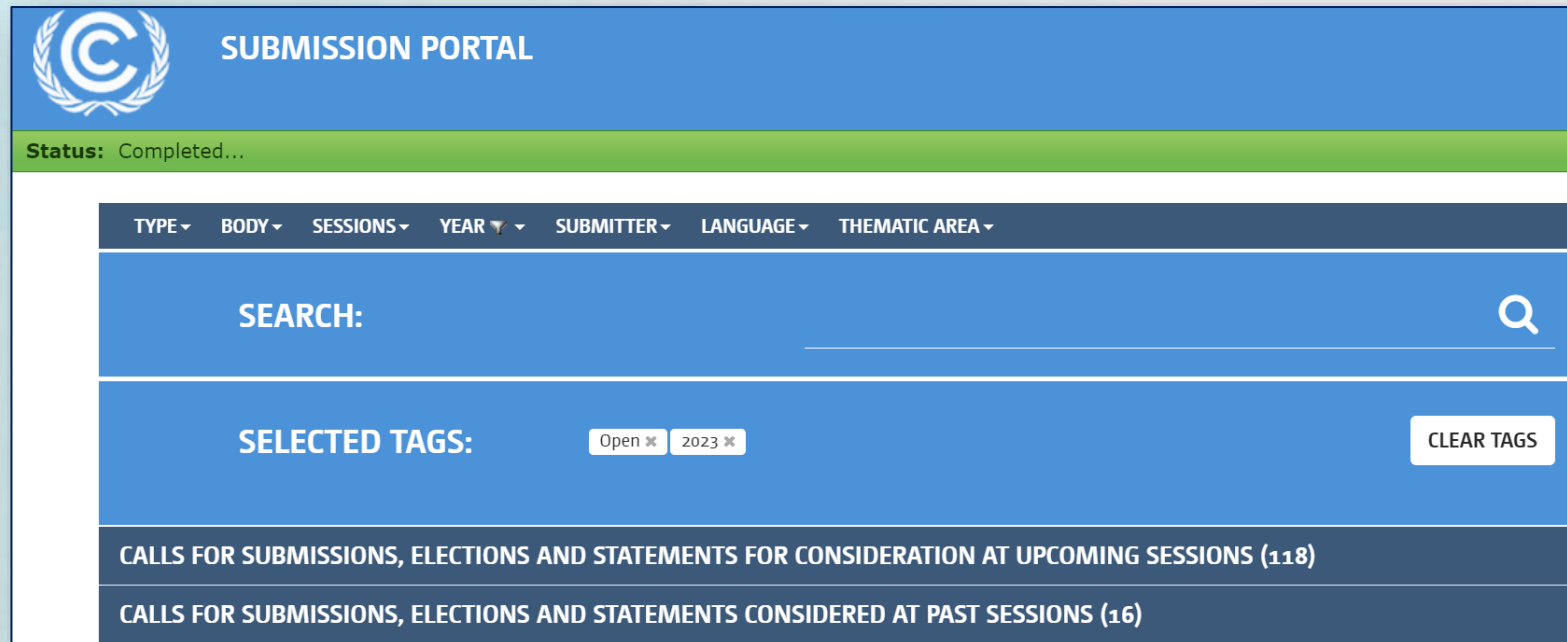
Status of submission and review of NCs and BRs from AI Parties

Compilation and synthesis of BRs and NIRs from AI Parties

Provision of financial and technical support for reporting under Convention

Provision of financial and technical support to developing country Parties for reporting and capacity-building under the Paris Agreement

- **SBI 5: Reporting and review pursuant to Article 13 of the Paris Agreement: provision of financial and technical support to developing country Parties for reporting and capacity-building**
- **Call for Submission:**
 - *In response to document FCCC/SBI/2022/20, para. 101. The submissions are or will be available at <https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx> (enter "Reporting and review pursuant" in the search field, under "Calls for submissions, elections and statements for consideration at upcoming sessions").*



The screenshot shows the 'SUBMISSION PORTAL' interface. At the top left is the United Nations logo. The title 'SUBMISSION PORTAL' is centered in the blue header. Below the header, a green bar indicates the status: 'Status: Completed...'. A navigation bar contains dropdown menus for 'TYPE', 'BODY', 'SESSIONS', 'YEAR', 'SUBMITTER', 'LANGUAGE', and 'THEMATIC AREA'. Below this is a search section with the label 'SEARCH:' and a search icon. Underneath is a 'SELECTED TAGS:' section showing two tags: 'Open' and '2023', with a 'CLEAR TAGS' button. At the bottom, there are two categories of results: 'CALLS FOR SUBMISSIONS, ELECTIONS AND STATEMENTS FOR CONSIDERATION AT UPCOMING SESSIONS (118)' and 'CALLS FOR SUBMISSIONS, ELECTIONS AND STATEMENTS CONSIDERED AT PAST SESSIONS (16)'.

Thank you



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