

The Capacity Building Initiative for Transparency - Global Support Programme

**Support Needed and Support Received: Reporting
Requirements and Best Practices in
Tracking Climate Finance in Anglophone Africa**

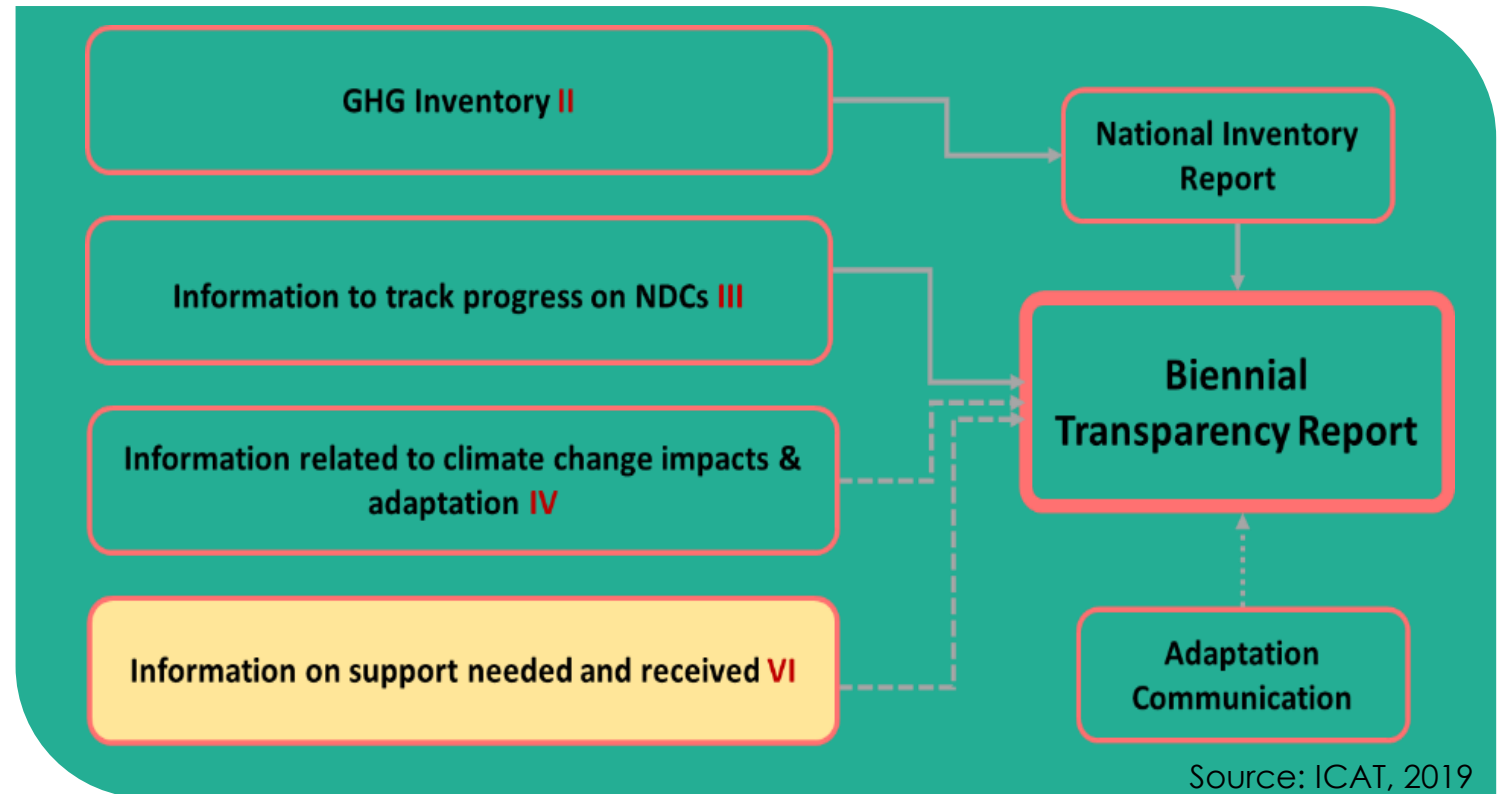
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Modalities, procedures, and guidance for Article 13 of the Paris Agreement

- The Paris Agreement in its Article 13 (para 6) lays out the Enhanced Transparency Framework for Action and Support which is to provide clarity on **support provided and received by relevant individual Parties in the context of climate change actions** under Articles 4, 7, 9, 10 and 11, and, to the extent possible, to **provide a full overview of aggregate financial support provided, to inform the global stocktake under Article 14.**
- Transparency Framework provides mandatory reporting requirements to all Parties for the reporting of Biennial Transparency BTR every two years (except for SIDS and the LDCs which are granted discretion on the timing of their submission),
 - Specifically, developing country parties are encouraged **to communicate the support that they need and have received.**
 - Specifically, countries are encouraged **to provide information on**
 - financial,
 - technology development and transfer,
 - capacity-building support needed and received, and
 - Transparency.

Common aspects between NC, BUR and BTR

Not mandatory



Source: ICAT, 2019

Information on support needed and received: *should, encouraged, and maybe reported*

Requirements under the ETF - Textual format

Financial support

- Support needed to fulfil the NDC
- How the support will contribute to the NDC and to the long-term goals of the Paris Agreement
- Description of sectors

Technology development and transfer (TDT)

- Plans, needs and priorities related to TDT, including those identified in TNA,
- TDT needs for the enhancement of endogenous capacities and technologies
- Case studies (success and failures) for TDT support received
- How the support contributes to TDT, endogenous capacities and know-how
- The stage of the technology cycle supported, including research and development, demonstration, deployment, diffusion and transfer of technology

Capacity Building support (CB)

- Approach to enhance CB support
- CB needs, constraints and gaps in communicating needs, and how CB will improve the provision of such information
- Processes for enhancing public awareness and participation and access to information related to CB
- Case studies (success and failures) for CB support received
- How support has enhanced capacities
- CB support received at the national and, where appropriate, sub-regional and regional level, including priorities, participation and the involvement of stakeholders

Transparency

- Support needed and received for preparing reports pursuant to Article 13
- Support needed and received for addressing the areas for improvement identified by the technical expert review teams

Requirements under the current reporting framework

Information to report	NC requirements	BUR requirements
Financial support needed	<i>Encouraged</i> to provide, to the extent their capacities permit, a list of projects proposed for financing	<i>Should</i> provide updated information on constraints and gaps, and related financial, technical and capacity-building needs. <i>Should</i> also provide updated information on financial resources, technology transfer, capacity-building and technical support received
	<i>This may</i> include information on opportunities for the implementation of adaptation measures, including pilot and/or demonstration adaptation projects, being undertaken or proposed.	
Financial support received.	<i>Should</i> also provide information on financial resources provided by the Parties themselves and by the GEF, Annex II Parties or bilateral and multilateral institutions, for activities relating to climate change	
	<i>May</i> include information on how support programs from Parties included in Annex II to the Convention are meeting their specific needs and concerns relating to vulnerability and adaptation to climate change	
Technology development and transfer support needed.	<i>Encouraged</i> to provide information on country-specific technology needs and assistance received from developed-country Parties and the financial mechanism of the Convention and, as appropriate, on how they have utilized this assistance in support of the development and enhancement of endogenous capacities, technologies, and know-how.	
	<i>This may</i> include information on opportunities for the implementation of adaptation measures, including pilot and/or demonstration adaptation projects, being undertaken or proposed.	
Technology development and transfer support received	<i>Should</i> provide information on technical support provided by Parties themselves and by the GEF, Annex II Parties or bilateral and multilateral institutions, for activities relating to climate change	
Capacity-building support needed	<i>Should</i> describe any constraints and gaps, and related financial, technical and capacity needs, as well as proposed and/or implemented activities for overcoming the gaps and constraints	
Capacity-building support received	<i>Encouraged</i> to provide information on how capacity-building activities, are being implemented at national/subregional and/or regional levels. This could include options and priorities for capacity-building, participation in and promotion of South-South cooperation, the involvement of stakeholders, coordination and sustainability of capacity-building activities, and the dissemination and sharing of information on capacity-building activities	
Support needed and received for the implementation of transparency-related activities	<i>Should</i> provide information on financial resources and technical support for the preparation of their NC provided by themselves, as well as those received from the Global Environment Facility (GEF), Annex II Parties or bilateral and multilateral institutions	

Source: UNFCCC, Decision 17/CP.8 Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention

Source: UNFCCC, Decision 2/CP.17 Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention and its Annex III "UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention"

Requirements under the ETF - by action in tabular format

Information to report	FN	FR	TDTN	TDTR	CBN	CBR	STN
Title	X	X	X	X	X	X	X
Programme/project description	X	X	X	X	X	X	X
Channel		X					X
Recipient Entity		X		X		X	X
Implementing entity		X		X		X	
Type of technology			X	X			
Estimated or actual amount (domestic currency and USD)	X	X					X
Expected or actual time frame	X	X	X	X	X	X	X
Expected or utilized financial instrument	X	X					
Status (committed or received)		X					
Type of support (mitigation, adaptation or cross-cutting)	X	X	X	X	X	X	
Sector and subsector	X	X	X	X			
Whether the activity will contribute to technology development and transfer and/or capacity-building	X	X					
Status of activity (planned, ongoing or completed)		X		X		X	X
Whether the activity is anchored in a national strategy and/or NDC	X						
Expected and achieved use, impact and estimated results	X	X	X	X	X	X	X

Ensure no double counting

Take home points

NOT mandatory

The provision of information on support needed and received is still not mandatory for developing countries. As such, it is not a requirement, but countries should strive to report this information

- Recognition of effectiveness of support
- Cross referencing with support provided
- Identification of needs and attracting further support

ETF MPG

The MPG for Article 13 provides much more details on the relevant information to be reported on support needed and received.

- Streamlining information between parties
- Guide the collection of relevant data

Challenges

There are different national circumstances and capacities between countries, including arrangements to capture the information.

- Institutional arrangements
- Differences and uncertainty in definitions and methods
- Unstandardised data from the institutions
- Guidance and capacity to assess support needed and received

Thank you!

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